# 2019 Emerson Corporate Social Responsibility Report

## Transfoming Industries
- Protecting Food Quality and Environmental Sustainability
- Ensuring Human Comfort and Health
- Advancing Energy and Resource Efficiency
- Enabling Safety and Security
- Leading Industries into the Digital Future

## Operating Responsibly
- Corporate Governance
- Integrity and Ethics
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Dear Stakeholders,

This year, like those that came before it, we were guided at every turn by a laser focus on our values and our efforts to leave the world in a better place than we found it.

By confronting the most complex and important issues facing the world in commercial, industrial and residential markets, we are driven to rise to that challenge while creating long-term value for all our stakeholders.

We take our role in the global business community – and our responsibility to pursue the highest standards of corporate citizenship – extremely seriously. With a presence across every major global region, we understand our opportunity to transform not only our own business operations, but also those of our customers in the most critical industries around the world.

I’m proud of what we accomplished in 2019. United by our Emerson values, we combined our best-in-class technology and groundbreaking innovation to make a true difference in the communities and industries in which we operate. In this latest Corporate Social Responsibility report, we highlight how we did – and are continuing to do – just that.

Through bold leadership across our company and within our two business platforms, Automation Solutions and Commercial & Residential Solutions, we are delivering environmentally responsible solutions that improve efficiency, reduce emissions and conserve resources to help our customers achieve their sustainability targets. We are stewarding the many industries we serve through difficult regulatory changes and technical complexity, empowering them to operate more safely and sustainably. Our technologies are helping advance human health, comfort and safety, and they’re enabling us to help protect food quality and reduce food waste in landfills. These tangible ways to impact our world inspire our global workforce to keep pushing for the next innovation and opportunity to make a difference.

To us, operating responsibly means acting with integrity and ethics in every business decision – from reducing the environmental impact of our business operations to ensuring our supply chain lives up to our highest standards. In 2019, as part of our ongoing work to further enhance shareholder value and ensure the strength of the organization, our Board of Directors began a comprehensive review of our operations, capital allocation and portfolio. After completing this review, I’m extremely confident in our company’s position to drive near- and long-term success, as we have been doing for 130 years.

In our business and manufacturing operations around the world, we are focusing more than ever on efficient use of energy and natural resources to help reduce the intensity of our company’s greenhouse gas (GHG) emissions. In 2019, we committed to reduce our GHG emissions by 20%, normalized to sales, across more than 200 of our global manufacturing and shared service facilities by the year 2028. This bold and pragmatic target was developed in partnership with environmental experts to demonstrate real progress toward reducing emissions while accounting for growth and changes across our dynamic global business. During the 2019 fiscal year, we
made noticeable progress by reducing our GHG emissions intensity by 5.8% from our baseline value, and look forward to continuing this important effort in the years ahead.

Technology continues to drive new efficiencies in processes, procedures and resource allocation every day. But it is also creating disruption in the workforce that demands a response. The training and skills required for success in the manufacturing workplace in particular are changing rapidly.

Emerson is committed to helping our employees, customers and future generations of workers adapt to these changes. We’re also partnering with leaders to help spread the workforce reskilling message across industries. I am pleased to co-chair the “Creators Wanted” campaign by the National Association of Manufacturers. This unprecedented, nationwide effort to reshape the nation’s perception of manufacturing has a goal of reducing the industry’s skills gap by 600,000 over the next five years. We are focused on nurturing the diverse communities in which we work and live. I’m proud of our progress to make our workforce and management ranks more diverse and our workplace more inclusive and reflective of our communities. Diversity and inclusion enable a rich and productive exchange of ideas that lead to the best solutions – undoubtedly a competitive advantage as we work to serve our customers. More than 12,500 employees have participated in unconscious bias training since 2016, and in 2019 we increased the number of certified global facilitators to more than 160. I am personally committed to Emerson’s continued efforts in this area, and I’m encouraged by the interest and enthusiasm surrounding our growing employee resource groups – Veterans, LGBTQ + Allies, Women in STEM and Blacks Reinforcing Diversity.

Our employees are the backbone of our business and our work to positively impact our communities. In 2019, we contributed time, funds and resources to more than 1,200 charities, nonprofit organizations and educational institutions in the United States, and numerous others in China, India, the Philippines and other countries where we operate. Emerson, the Emerson Charitable Trust and our business units have also made contributions totaling more than $30 million globally to organizations focused on health and human services, education, culture and arts, and civic development, demonstrating our deep commitment to strengthening the communities we serve.

While this report focuses on our accomplishments in 2019, I would also like to thank our teams across the globe who have continued to drive these efforts and critical operations during the ongoing COVID-19 (coronavirus) pandemic. The safety of our employees is our top priority, and we worked together as an organization to enact additional safeguards and policies to protect our dedicated workforce while meeting our customers’ critical needs. Our customers and our technologies are integral to industries that society relies on each and every day: life sciences, food and beverage, power and energy, just to name a few. We understand the important role we play to help these industries carry on their vital work and as we fight this global pandemic together, we remain confident that we will recover from this as a stronger team and organization.

I want to extend my personal gratitude to the Emerson Board of Directors, management team, customers and employees around the world for their support. Amidst a time filled with its fair share of achievements and challenges, we remain more resolved than ever to positively impact our customers, employees and communities. Through this report and other communications, we are pleased to share our activities and progress with our stakeholders, and we are dedicated to building upon this progress in the years to come.

My personal regards,

David N. Farr
Chairman and
Chief Executive Officer
At Emerson, we recognize that good business practice increasingly requires a dedicated, holistic approach to corporate responsibility.

Throughout this report, you will find information on our ongoing commitment to environmental, social and governance (ESG) topics, and how we are working to improve our own operations and providing technologies and expertise to help our customers do the same. On this page, we have included a selection of topics as they relate to our continued ESG efforts and corresponding page numbers for convenient reference.

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OUR VALUES
DEFINE EMERSON’S CULTURE

Integrity, Safety & Quality, Support Our People, Customer Focus, Continuous Improvement, Collaboration and Innovation. These values are our North Star – guiding every decision we make.

These values, informed by feedback from nearly 14,000 employees from around the world, shape and define Emerson’s culture and serve as the lens through which we evaluate key business decisions, initiatives and focus areas. Most importantly, they give meaning and purpose to our daily work, inspiring us to leave the world in a better place than we found it.

Our Values

INTEGRITY
We are uncompromising in our honest and ethical behavior, which creates trusting relationships with one another, customers, suppliers and communities.

SAFETY & QUALITY
We are unwavering in our commitment to the highest standards of safety and quality for ourselves and our customers.

SUPPORT OUR PEOPLE
We attract, develop and retain exceptional people in an inclusive work environment, where all employees can reach their greatest potential.

CUSTOMER FOCUS
We actively listen to our customers to deeply understand their needs and deliver the unique solutions that ensure their success.

CONTINUOUS IMPROVEMENT
We constantly strive for improvement in all aspects of our business, guided by metrics, feedback and our disciplined management process.

COLLABORATION
We work seamlessly across geographies, platforms, business units and functions to fully leverage our unmatched breadth and expertise.

INNOVATION
We passionately pursue new technologies, capabilities and approaches to drive tangible value in our customers.
Emerson is a global technology, engineering and manufacturing company with a 130-year legacy of providing innovative solutions for customers in industrial, commercial and residential markets.

Through our two business platforms – Automation Solutions and Commercial & Residential Solutions – we develop and deliver advanced technologies, software and services that enhance productivity, efficiency and safety for our customers.
Emerson’s Profile in 2019

Global Totals

- **$18.4B** in Sales
- **88K** Employees
- **200** Manufacturing Locations

**United States and Canada**
- **$9.1B** Sales
- **25K** Employees
- **70** Manufacturing Locations

**Europe**
- **$3.2B** Sales
- **20.5K** Employees
- **60** Manufacturing Locations

**Asia**
- **$4B** Sales
- **26K** Employees
- **45** Manufacturing Locations

**Latin America**
- **$1B** Sales
- **14.5K** Employees
- **20** Manufacturing Locations

**Middle East and Africa**
- **$1.1B** Sales
- **2K** Employees
- **5** Manufacturing Locations
Business Platforms

Automation Solutions
Helps process, hybrid and discrete manufacturers achieve Top Quartile performance by maximizing production and optimizing energy and operating costs while also protecting personnel and the environment.

Sales: $12.2B
59K Employees

INDUSTRIES SERVED INCLUDE
• Life Sciences
• Water & Wastewater
• Food & Beverage
• Chemical
• Power
• Metals & Mining
• Automotive
• Electronics

CORE EXPERTISE
• Industrial Internet of Things
• Systems & Asset Management
• Measurement Instrumentation
• Fluid Control & Pneumatics
• Valves, Actuators & Regulators
• Welding, Assembly & Cleaning
• Electrical & Lighting
• Industry Services & Solutions
• Energy

KEY PRODUCT BRANDS
• Plantweb
• DeltaV
• Ovation
• Rosemount
• Micro Motion
• ASCO
• AVENTICS
• Bettis
• Fisher
• Keystone
• KTM
• Vanessa
• Branson
• HTE
• Appleton

Commercial & Residential Solutions
Helps ensure human comfort and health, protect food quality and safety, advance energy efficiency and create environmentally sustainable infrastructure.

Sales: $6.2B
27K Employees

INDUSTRIES SERVED INCLUDE
• Construction
• Commercial Buildings
• Food Retail
• Food Service
• Transportation
• Contractor
• Homeowners

CORE EXPERTISE
• Heating & Air Conditioning
• Refrigeration & Cold Chain
• Monitoring Systems & Facility Controls
• Food Waste Solutions
• Home Repair & Maintenance
• Professional Tools for Mechanical, Electrical, Plumbing & Industrial Infrastructure

KEY PRODUCT BRANDS
• Copeland
• Greenlee
• InSinkErator
• Klauke
• ProAct
• RIDGID
• Sensi
Driving Strong Business Results

Sales: $18.4B
6% Year-over-year increase

EPS: $3.71
7% Year-over-year increase

Creating Value for Shareholders

63 Years Increased dividends

2019 Recognition

Ranked #2
FORTUNE World’s Most Admired
(Industrial Machinery category)

Top 100
FORBES America’s Best Large Employers

Ranked #178
America’s largest corporations by revenue (FORUNE 500)

Scored 100%
Corporate Equality Index

Emerson recently ranked #22 in Newsweek’s inaugural ranking of America’s Most Responsible Companies for 2020.

Key Facts at a Glance

FOUNDED 1890

HEADQUARTERS: ST. LOUIS, MISSOURI (USA)

STOCK SYMBOL: NYSE: EMR

OF DESTINATION SALES OUTSIDE THE UNITED STATES 54%

ACTIVE PATENTS HELD BY EMERSON WORLDWIDE IN 2019 20K

More information about Emerson’s business and operational performance can be found at Emerson.com and in our latest Annual Report to Shareholders and Form 10-K filing with the U.S. Securities & Exchange Commission.
Emerson is where technology and engineering come together to create solutions for the world’s most complex challenges. Our talented global workforce combines a passion for innovation with our culture of performance to develop and deliver advanced technologies, software and services that enhance productivity, efficiency and safety for our customers.
Commercial & Residential Solutions

EMERSON’S COMMERCIAL & RESIDENTIAL SOLUTIONS BUSINESS DEVELOPS TECHNOLOGIES THAT HELP ENSURE HUMAN COMFORT AND HEALTH by protecting food quality, creating sustainable infrastructure and advancing energy efficiency. Our technologies and integrated solutions are used throughout residential heating and cooling, commercial and industrial refrigeration, cold chain management, food waste disposal and professional tools for tradespeople. Emerson is engineering environmentally responsible, energy-saving solutions that help people live comfortably and safely around the world.

Automation Solutions

EMERSON’S AUTOMATION SOLUTIONS BUSINESS ENABLES THE GREATEST USE OF THE WORLD’S MOST VALUABLE RESOURCES, helping industrial leaders achieve Top Quartile performance and ensuring the productivity and safety of industries which are the backbone of daily life.

Our wide array of products, integrated solutions, software and services enables process, hybrid and discrete manufacturers to maximize production, protect personnel and the environment, reduce project costs and optimize energy efficiency and operating costs. Our solutions help industrial manufacturers more efficiently use natural resources while helping protect worker safety and the environment.
PROTECTING FOOD QUALITY AND ENVIRONMENTAL SUSTAINABILITY

Food waste is a significant global issue. Wasted food is the single largest category of material placed in landfills, according to the U.S. Food & Drug Administration.

Emerson’s technology solutions help protect food across the cold chain – the network of refrigerated transport trucks, warehouses, retailers and restaurants used to maintain safe food temperatures and storage from farm to fork. These same Emerson technologies are used to protect critical, temperature-sensitive medications. At the other side of food’s life cycle, Emerson provides advanced food waste disposer solutions to help turn inevitable food scraps into energy.

Fighting Food Waste with Cold Chain Technology
In addition to grocery stores and delivery trucks, Emerson’s technologies help organizations like the Mississauga Food Bank, a hunger relief organization in Ontario, Canada, regulate food temperatures for those in need. The Mississauga Food Bank distributes more than 2.5 million pounds of food annually, with perishable food accounting for more than half of its inventory. Emerson donated a wireless temperature monitoring system to help the food bank manage and protect its inventory, ensuring the organization was able to serve the families throughout the community who need it most.

Turning Food Waste into Energy
Through our garbage disposal technologies, Emerson has helped divert 80 million tons of food waste from landfills. We are building on this technology with our Grind2Energy solution, which uses industrial InSinkErator disposers to enable businesses to turn food scraps into renewable energy.

With the installation of Grind2Energy, McCormick Place can now divert virtually 100% of preconsumer organic waste from their facility.

In 2019, Emerson installed this innovative solution in McCormick Place – North America’s largest convention center and the first convention center in the world to receive Green Seal certification for environmentally responsible food purchasing. McCormick Place and SAVOR...Chicago, the venue’s exclusive food service operator, have operations in place to compost, recycle and donate leftover food, diverting nearly 60% of food waste from landfills.
Emerson empowers customers and businesses to reduce strain on the grid and manage energy efficiency through smart home technologies – like our smart thermostats, complementary heating and cooling solutions and energy-efficient compressor units – while maintaining optimal human comfort and health.

Maximizing Efficiency and Ease with Technology Solutions

Less than two years after Emerson’s Sensi smart thermostats received ENERGY STAR® certification, Emerson has been named an ENERGY STAR® Partner of the Year. Sensi is the first smart thermostat brand to win this honor. ENERGY STAR, a joint program between the U.S. Environmental Protection Agency and U.S. Department of Energy, is a well-known indicator of energy efficiency and cost savings.

By adjusting the temperature using flexible scheduling, remote access and geofencing, Sensi Smart thermostats saved customers about 23% on HVAC energy usage. These energy savings were calculated by comparing operation time for a nationwide sample of Sensi users, with savings varying due to a variety of factors including equipment type and frequency of temperature adjustments.

Building on its Sensi suite of smart home capabilities, Emerson created a technology solution to monitor performance, identify issues and alert contractors and homeowners to costly inefficiencies in HVAC units with Sensi Predict. In addition to improving energy efficiency, this solution also brings ease to home improvement contractors as they evolve their business models. By providing real-time visibility into the health of a system and cutting down on the labor of busy technicians, Sensi Predict helps address a significant skilled worker shortage by providing real-time notifications for preventive maintenance.
Environmental sustainability is a critical issue for individuals and businesses alike, and we are committed to advancing strategies and technologies to lower emissions and improve resource efficiency throughout our company and with our customers.

Enabling Customer Efficiency & Environmental Sustainability

Our manufacturing customers are prioritizing opportunities to enhance the environmental sustainability and efficiency of their operations while working to reach Top Quartile performance, within the top 25% of their peers, in key areas like improved reliability, enhanced safety, higher production and lower energy usage. But with a wide array of metrics in use to measure energy usage and material usage, it can be difficult to know where to start and how to measure progress. Emerson helps customers define their vision and design their environmental sustainability journey.

By combining Emerson’s technology offerings, technical expertise and process knowledge, we help enable customers to realize their efficiency and environmental sustainability goals. Through the implementation of our proven roadmap, customers transform their operations and boost production while reducing energy, resource usage and emissions. Studies have found that Top Quartile performing facilities spend one-third as much as the industry average on energy costs and have 30% less CO₂ emissions.

In addition, Emerson’s Plantweb™ digital ecosystem – a scalable and secure portfolio of transformational sensors, intelligent control elements, software and services – provides industrial customers with the insights and confidence needed to drive measurable business improvement. Together with Emerson’s high-fidelity simulator, known as a “digital twin,” Plantweb enables customers to identify and test proposed adjustments to operations risk free and in real time, helping ensure changes won’t impact production.

In the refining industry, for example, premature fouling of heat exchangers can lead to increased cost of operations and loss of overall energy efficiency due to increased maintenance needs and fuel and steam usage. Dynamic monitoring through Plantweb sensors and analytics can detect premature fouling and alert technicians when action is needed, before energy losses occur. With thousands of heat exchangers across some enterprises, the potential impact is substantial.
Ana Gonzalez Hernandez helped develop a universal, integrated resource efficiency metric based on the thermodynamic principle of exergy. This scalable metric enables producers as well as policymakers to measure the efficiency with which raw materials and energy are used in the production of a given product or service. By broadening our view of resource efficiency, Emerson can provide customers with better insights on what they can do, more holistically, to have a tangible impact on their goals. When combined with advanced data analytics and sensor technology, this method will provide a comprehensive tool to measure relative performance and identify a wider range of opportunities for process optimization.
Enhancing Efficiency Without Compromising Comfort

Emerson is a leader in the global discussion around sustainable refrigeration and develops solutions that are optimized for both new global efficiency standards and refrigerant regulations. We are helping heating, ventilation, air conditioning and refrigeration customers implement more energy-efficient compressors that provide responsible air-conditioning solutions to address a growing global need. We’re partnering to help our HVAC companies meet shifting environmental regulations that will dramatically change the industry’s approach and technologies while reducing the use of refrigerants that potentially impact global warming.

As an industry steward, we are also working closely with trade groups, regulatory bodies, customers and suppliers to develop next-generation, sustainable solutions that help maintain comfort – an important element of health and well-being.

World Refrigeration Day is one way Emerson partners closely with the industry. This year, we hosted informational webinars on the application and regulation of refrigerants for others in the industry. As regulations evolve, Emerson engages with global industry associations, original equipment manufacturers and chemical companies to determine the best low-GWP (Global Warming Potential) refrigerant options for HVACR applications. We are committed to working with customers, industry partners and government leaders through the transition to these lower-GWP refrigerants through innovative solutions in all regions we serve.
The personal safety and security of our employees and customers – and securing their data and operations – are of paramount concern to Emerson.

Our TÜV certified safety products, including measurement, safety systems (SIS) and final control devices together with our cybersecurity protection and predictive technologies help customers reduce risk, avoid incidents and operate with certainty. Safety certified engineers design and deliver complete safety solutions for our industrial customers.

Investing in Cybersecurity and Data Privacy

Emerson is committed to cultivating a strong cybersecurity culture throughout our company while maintaining robust cyber protection capabilities for our operations, customers and employees. We continuously monitor and improve our cybersecurity measures, and we are aligning our activities including internal IT systems, product development and service delivery to leading cybersecurity frameworks such as ISO27001, NIST and ISA 62443.

We are continually investing in our portfolio of systems and solutions with advanced security features to help our customers protect their own operations. For example, this year, we were proud to partner with Cisco to offer the most advanced industrial wireless network solution, introducing next-generation technologies that deliver highly secure data in industrial environments. This wireless solution enables next-generation sensors as well as secure wireless access to plant systems and data for plant personnel, providing the core infrastructure for many digital transformation solutions.

In 2019, our DeltaV™ distributed control system earned the industry’s first ISA Secure® System Security Assurance Level 1 certification for cybersecurity, independently certifying that the product and its systems are robust against network and system attacks, and reinforcing our commitment to provide secure, reliable automation for hybrid and process customers. This certification joins our Ovation™ control system for power and water customers, which is designated “Qualified Anti-Terrorism” by the U.S. Department of Homeland Security.

By bringing together Enterprise and Product Cybersecurity teams, Emerson developed a new, Bluetooth-enabled site monitoring ecosystem, REFCON Portable with Wireless Hubs. This advanced ecosystem combines the latest security requirements on its hardware – enabling refrigerated container data and commands through private keys and AES128 encryption to safely track and protect valuable goods in transport.

Emerson is also committed to protecting the personal information of our customers, employees, vendors and investors, all of whom expect us to adhere to the highest standards of data privacy and security. Read more about Emerson’s data protection policies here.
Promoting Industrial Worker Safety

We work with customers every day to help them confidently protect their facilities, personnel and community. By helping companies reach Top Quartile performance, we are helping them enhance their safety and protect their teams. In terms of safety, Top Quartile performers had two-thirds fewer safety incidents as compared to their average industry peers.

Technology is helping make a safety impact. Our Location Awareness technology leverages our Plantweb infrastructure to allow companies to monitor and help protect employees in refineries, chemical plants and oil and gas platforms.

In addition, our Mimic™ Simulation Software provides accurate and real-time simulation of plant behaviors, and was enhanced in 2019 with new modeling capabilities, usability enhancements and tuning options to help engineers accelerate workforce safety and more easily create, modify and integrate simulations for process improvements in a plant.

Our technology is helping companies operate in measurably safer ways. For example, Emerson’s corrosion and erosion sensors, gas leak detection and pipeline integrity technologies reduce personnel exposure risk in industrial facilities, while also helping to protect surrounding communities and the environment. Additionally, automated real-time data and greater process insight provide early notification of anomalies, reducing the risk of an event.

While detection of potential safety issues is crucial, a plant’s Safety Instrumented System (SIS) serves as the ultimate safeguard, intelligently springing into action when operating conditions become unstable – protecting the plant, its people and the surrounding community. Emerson’s SIS, part of the Plantweb digital ecosystem, is the industry’s most advanced and digitally integrated plant safety system.

Protecting Utility and Electrical Workers

This year, we also created the industry’s first insulated battery-powered hydraulic tool line. Our Greenlee Gator® Insulated Series of cutters and crimpers are designed with insulation barriers to help offer added protection from electric shock up to 1,000 volts of electricity, helping to save the lives of professional utility and electrical tradesmen while on the job.
**Digital transformation helps our customers use the latest advanced technologies to revolutionize business operations and optimize performance.**

But integration of new technologies is often a complex transition that affects not only existing processes and procedures, but also the everyday work of employees. Emerson is committed to helping customers navigate this transition with scalable technologies and training solutions.

**Improving Performance and Productivity**

Our industrial customers are using the latest digital transformation technologies to reach performance in the top 25%, or Top Quartile, of their peers, measured by enhanced safety, minimized energy usage, optimized production and improved reliability. Through our robust global consulting program, Emerson helps customers implement solutions that address their specific needs, optimize their operations and make the most of their – and the planet’s – resources.

In 2019, we deepened our commitment to manufacturers’ Top Quartile performance with the launch of a new Digital Transformation organization. The new business brings together critical resources to help manufacturers develop and implement pragmatic digital transformation strategies that deliver industry-leading performance, combining existing expertise in consulting, project execution, smart sensor technologies, data management and analytics to help customers not only establish a clear vision for digital transformation, but also execute with confidence and realize measurable results at each step of their journey.

Simply having data isn’t enough to enhance operations. Through our Plantweb™ digital ecosystem, we offer the most comprehensive operational analytics portfolio in the industry, enabling companies to bring industrial facilities to life with dynamic sensor and analytics networks to detect problems before they impact production or risk personnel safety. We arm plant workers with the real-time insight to proactively assess the integrity of operating equipment, and target maintenance that reduces risk while helping to ensure business continuity.
Training the Workforce of the Future

We understand the importance of training the next generation of innovators to develop the strength of the skilled trades workforce. Emerson partners with over 350 universities and colleges across the globe to provide the curriculum and training needed for students to succeed in today’s workforce. A few examples include:

- **Our partnership with Texas A&M College of Engineering** and its Emerson Advanced Automation Laboratory to provide a space where engineering students can experience a modern, real-world plant simulation found in the manufacturing facilities for the oil and gas, refining and chemical industries.

- **Emerson sponsored upgrades** to a distillation column and computer labs at *The University of Texas at Austin*, including hardware to modernize a distillation column experiment station and DeltaV distributed control system software and hardware – the same equipment that is used in control centers of an actual plant. These investments will give students hands-on experience and the skills required to tackle process control-related challenges they will experience in industry.

- **Our Greenlee GreenApple Labs** program provides key competencies to students in the skilled trades that they will apply directly when entering the workforce. Greenlee’s hands-on education model provides standardized curriculum for various levels of construction trades education.

- **A new collaboration with Colorado State University** to build the Emerson Brewing Innovation Center, where students will get hands-on experience using the latest automation technologies.

- **As part of our collaboration with Ireland’s National Institute of Bioprocessing Research and Training (NIBRT), Emerson is providing automation software and systems to help train students on the latest technologies designed to optimize pharmaceutical production. The Emerson Room at the NIBRT facility simulates an innovative bioprocessing environment and features a fully operational DeltaV system to provide real-life training in a safe environment.**

The industry-recognized program is currently offered on more than 50 campuses nationwide and compatible with well-known electrical curricula, including the National Coalition of Certification Centers. GreenApple Labs also offers certifications through the National Coalition of Certification Centers (NC3).

- **In 2019, Singapore Polytechnic** and Emerson celebrated the opening of the Energy and Chemicals Training Centre (ECTC). Spanning 1,430 square meters, the ECTC houses the Interactive Plant Environment (IPE), chemical engineering laboratories and a Virtualization Room equipped with augmented and virtual reality technologies. This space allows students and employees from the process industry conduct experiments, build prototypes and operate equipment.

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Our culture of performance drives us to operate responsibly, from our disciplined management process to how we engage with our many stakeholders. From our Board of Directors and executive leadership team to our employees and suppliers, we expect all those who represent Emerson to share in our values as we remain committed to promoting a culture of integrity, protecting the interests of shareholders and minimizing our environmental footprint.
As part of our commitment to earning and maintaining the trust and confidence of our stakeholders, Emerson is dedicated to the highest standards of corporate governance to ensure our company operates responsibly.

Board of Directors
Members of Emerson’s Board of Directors are elected by shareholders to provide oversight and strategic guidance to senior management. The core responsibility of the Board is to exercise its fiduciary duty to act diligently and in the best interests of all the company’s shareholders. The Board selects and oversees the members of senior management, to whom the Board delegates the authority and responsibility for the day-to-day operations of the business. The Board provides guidance and oversight regarding the management of the company and is responsible for establishing all significant company policies, overseeing compliance with those policies and approving all significant company transactions.

Board of Directors Policies
Emerson’s principles and practices are driven by its Board of Directors, which ensures these foundational elements are shaped by highly independent, diverse viewpoints and deep management expertise. Our Board’s composition and operations are guided by Emerson’s Corporate Governance Principles and Practices, which include the following guidelines:

INDEPENDENCE
The majority of Board members must remain independent, and this independence is confirmed at least annually. At the end of 2019, Emerson’s Board included 10 independent members and just one inside director, Emerson’s Chairman and Chief Executive Officer David N. Farr. Our Director Independence Standards are contained in Annex II to our Corporate Governance Principles and Practices.

COMPOSITION
Board members must bring senior management business experience or experience in government or other relevant organizations. We continually seek a diversity of viewpoints on our Board that helps us to understand and anticipate changes in our business environment, as well as ethnic and gender diversity.

COMMITTEES
To provide sustained, specialized and in-depth oversight in certain areas, Emerson’s Board of Directors has five committees: Audit, Compensation, Corporate Governance and Nominating, Executive, and Finance. All committees, except for the Executive Committee, are comprised entirely of independent Board members. More information about our Board committees and their charters is available in the Corporate Governance section of Emerson.com.

LEADERSHIP
The Board’s Lead Independent Director serves as the key liaison between the Chairman and the rest of the Board. The duties of the Lead Independent Director include presiding at Board meetings at which the Chairman is absent, including executive sessions of independent or nonmanagement directors, reviewing and consulting with the Chairman regarding meeting agendas and schedules and information to be sent to the Board, calling meetings of independent or nonmanagement directors, consulting with shareholders and serving on the Executive Committee. The company publishes in its annual Proxy Statement the manner in which shareholders can communicate with any director, including the Lead Independent Director.
Recent Corporate Governance Actions

The Corporate Governance and Nominating Committee periodically reviews the company’s governance principles and practices in light of feedback from shareholders, industry trends and risks and opportunities facing the company. When the committee determines any such changes are necessary, it recommends the changes to the full Board to approve any required policy amendments. As a result of this review, the company took the following corporate governance actions:

**AUDIT COMMITTEE FINANCIAL EXPERTS:**
In 2019, the Board determined that two members of the Audit Committee are Audit Committee Financial Experts under SEC rules.

**BOARD REFRESHMENT:**
In 2019 we added two new members to the Board, Martin Craighead, Chairman and Chief Executive Officer of Baker Hughes, and Mark Blinn, former President and Chief Executive Officer of Flowserve.

**DECLASSIFYING BOARD OF DIRECTORS:**
We recognize that a declassified Board of Directors is increasingly considered an important aspect of good corporate governance. In response to this trend and shareholder input, the company once again submitted a management proposal to declassify our Board of Directors.

The proposal, if adopted, would have resulted in the annual election of Board members to one-year terms, and a fully declassified Board by 2023. The management proposal did not receive the required shareholder approval at the company’s Annual Meeting.

**GHG REDUCTION TARGETS:**
In 2019, the company has announced a commitment to reduce GHG emissions by 20%, normalized to sales, by 2028.

**SHAREHOLDER RESPONSIVENESS:**
In response to shareholder input, in 2018 we proposed to amend our Restated Articles to allow shareholders the right to amend our Bylaws. The management proposal did not receive the required shareholder approval at the company’s Annual Meeting.

Balanced Board Tenure

<table>
<thead>
<tr>
<th>Tenure</th>
<th>Number of Directors</th>
</tr>
</thead>
<tbody>
<tr>
<td>0-3 Years</td>
<td>4</td>
</tr>
<tr>
<td>4-8 Years</td>
<td>3</td>
</tr>
</tbody>
</table>

Two new members were elected to the Board in 2019: Martin Craighead (June) and Mark Blinn (November). Together, these key additions bring to our Board a breadth of executive and board leadership experience and expertise in energy and automation end markets.
EMERSON LEAD INDEPENDENT DIRECTOR
DR. CLEMENS A.H. BOERSIG plays a critical role in leading the Board in providing guidance regarding the management of the company and establishing all significant company policies, including ESG commitments.

Enhancing Shareholder Value
One of the most important focus areas for the Board is guiding the organization through shifting economic trends, while continuing to invest in strategic ways that position the company to deliver value well into the future. To further enhance shareholder value and position, in mid-2019 the Board initiated a comprehensive review of Emerson’s operational, capital allocation and portfolio initiatives. In collaboration with a leading consulting firm and independent legal and financial advisers, our Board spearheaded the evaluation process.

Risk Management and Oversight
The Board as a whole has responsibility for the oversight of Emerson’s risk management process. This process is designed to provide to the Board timely visibility into the identification, reporting, assessment and management of critical risks. The Audit Committee has specific responsibility for assisting the Board in risk management, including major financial risk exposures and the steps management has taken to monitor, mitigate and control such exposures, as well as an annual review of the company’s political spending activities.

The formal annual risk assessment process includes surveys of all business unit presidents and interviews with all business leaders, corporate functional leaders and members of the Office of the Chief Executive. In addition, the employee responsible for mitigating the risk and the mitigation plan and timeline ensures relevant findings are documented.

The Corporate Governance and Nominating Committee is responsible for assisting the Board in the oversight of the company’s conflict of interest policies, codes of ethics, ESG (environmental social governance), political activities and compliance with laws and regulations, including oversight of the company’s political spending activities.

The full Board, or the appropriate committee, receives this risk assessment information annually to enable it to understand and monitor Emerson’s risk management process. Information brought to the attention of the committees is shared with the full Board as appropriate. Ongoing risk assessments in various areas are also conducted as part of Emerson’s normal management process, and the results of those assessments are shared with the Board or relevant committee as needed throughout the year. This could include potential issues such as ethics or human rights violations, environmental risks, etc.

Political Participation
Emerson’s shareholders, employees and customers are affected by public policies at all levels of government. To protect shareholder value, Emerson engages with public officials to educate them on our company’s operations, emerging technologies and markets.

Further information about Emerson’s policies and procedures for political participation, including political spending, lobbying, details of such expenditures and links to recent LD-2, LD-203 and Missouri Ethics Commission reports can be found on the Political Contributions and Trade Associations and Lobbying pages of the Emerson website.
At Emerson, employees and leaders are expected to uphold high standards of honest and ethical behavior, to not only cultivate trust among one another, but with our customers, suppliers and communities.

As a company, we are steadfast in our commitment to maintain ethical conduct and legal compliance – and clearly define policies and practices for employees through our Ethics and Compliance program. This program is overseen by the Corporate Governance and Nominating Committee of the Board of Directors.

Our Ethics and Compliance program takes a comprehensive approach through monitoring, investigation and evaluation – merging three core functional areas including Human Resources, Audit and Compliance, and Law.

We seek to communicate our program as distinctly as possible to employees through trainings, documentation and reporting channels in which employees can escalate questions or concerns. The program is revisited annually to ensure consistency with the current business environment and industries in which we operate. New employees are introduced to the program during their onboarding, and current employees and leaders are refreshed on the program on an annual basis through trainings.

Employee Code of Conduct

Our Employee Code of Conduct handbook, “The Right Way,” serves as the foundation for how Emerson and our employees conduct business around the world. The latest version is available to all employees and the public at Emerson.com/ethics and has been translated into more than 20 languages. Supplemental ethical guidelines are also provided to executive officers and members of the Board of Directors – and available to the public – to address the special responsibilities of these leaders.

We are committed to ethical conduct and legal compliance – and we clearly define our policies and practices for employees through our Ethics and Compliance Program.
CEO and Senior Financial Officer Code of Ethics

Our company’s most senior leaders adhere to the CEO and Senior Financial Officers Code of Ethics, which outlines expectations including exhibiting and promoting integrity, and providing accurate and timely financial reporting.

Annual Ethics Trainings

All employees are required to complete our company’s ethics training on an annual basis to ensure comprehension of our Employee Code of Conduct. The training is offered in person and online, with several interactive components and an evaluation at the end. While we prioritize in-person training, online training is also offered to ensure we reach our remote workforces. In 2019, we achieved a nearly 100% completion rate.

In this training, we cover a wide range of ethics and compliance subject areas, including expectations of ethical business practices and behavior, the latest updates to governance principles and practices, and the channels we offer to report ethical concerns. We make it a priority to discuss our no tolerance policy for sexual harassment or retaliation of any kind as well as anti-corruption information that reflects risks identified during the previous year’s audits.

In addition to the all-employee training, managers in the United States are required to complete a separate training, “You and the Law,” that narrows in on the ethical responsibilities of those in supervisory roles.

Based on training evaluations and feedback given in our annual global employee engagement survey, we know our Ethics and Compliance program is engaging employees effectively. In the 2019 survey, both salaried and hourly employees reported having a strong understanding of our Ethics and Compliance program and how to report an ethics concern.

At Emerson, we believe the integrity of our company resides in the integrity of our employees.
Ethics Hotline and Reporting Process

At Emerson, we believe the integrity of our company resides in the integrity of its employees. The center of our Ethics and Compliance program is our ethics reporting process, which provides employees with clear steps on how to report unethical behavior while ensuring the protection of their employment status. We are fully committed to safeguarding against nonretaliation or harassment of whistleblowers. Employees are provided with several avenues to escalate ethics concerns. First, employees are encouraged to contact their supervisor or business unit’s ethics officer. Employees can also visit Emerson’s Ethics Reporting website and file a concern or call the Ethics hotline number. Both channels provide the option for employees to remain anonymous. We ensure interpreters are available to help field calls from employees across our global workforce.

Our website and hotline are operated by an independent third party, which sends reports directly to Emerson’s corporate headquarters and directly to the Audit Committee chair for reports regarding accounting, internal controls or auditing matters.

Review and Resolution of Ethics Concerns

Reports of ethics issues are handled by a limited number of experienced and specialized Emerson management personnel. Significant ethics allegations, of which Emerson has a set criterion, are required to be reported directly to the Chief Ethics Officer and Chief Compliance Officer. Each year, internal and external auditors review our program, and subsequently, Emerson makes revisions as recommended.

The Audit Committee of the Board of Directors reviews all reports regarding accounting, internal accounting controls or auditing matters – and remains involved until resolution. In addition, an annual report, including a summary of all allegations throughout the company, is developed and delivered to the Board’s Corporate Governance and Nominating Committee.

Channels of Ethics Reporting

1. Supervisor or Business Unit Ethics Officer
2. Ethics Reporting Website
3. Ethics Hotline

Emerson is fully committed to safeguarding against nonretaliation or harassment of whistleblowers.
Conflict of Interest Evaluation and Reporting

Conflicts of interest are taken seriously at Emerson, and transparency and communication are expected of our employees. In addition to disclosing the situation to their supervisors, employees complete an annual questionnaire to identify potential conflicts of interest between the company and any of its directors, officers or employees. This questionnaire is provided in 22 languages, and more than 43,000 directors, officers and employees responded in 2019.

The Chief Ethics Officer and corporate legal department handle all newly reported responses, which are investigated and then resolved. Emerson’s conflict of interest policies are overseen by the Corporate Governance and Nominating Committee of the Board of Directors, which is informed annually of the outcomes of the conflict of interest evaluation process.

Compliance Risk Oversight

On a quarterly basis, members of Emerson’s Compliance Committee meet to discuss new or existing compliance risks based on emerging trends. The committee includes representation from Law, Human Resources, Audit and regional lawyers from Europe, Latin America and Asia. Each functional area is responsible for establishing and implementing an appropriate amount of controls based on risk.

We assess and monitor risk in nine key areas through a range of methods from self-assessments to on-site audits to inquiries. Emerson engages a third party to review the maturity of its ethics and compliance program and the extent to which the program aligns with U.S. Department of Justice guidelines, the Committee of Sponsoring Organizations of the Treadway Commission (COSO) framework and other leading practices. The most recent review concluded that the program is aligned with these practices.

Anti-Corruption Controls

Emerson follows detailed processes to prevent corruption across our global operations. On a quarterly basis, all business units are required to certify an Internal Control Questionnaire (ICQ), which includes anti-corruption controls. In addition, Emerson’s internal audit annually conducts anti-corruption audits at locations identified as a part of a risk assessment.

In addition, all employees who come in contact with customers, government officials, third-party intermediaries and suppliers complete an online anti-corruption training program once every three years. Approximately 50,000 employees have received the training over the past three years.

To actively manage risk associated with third parties, Emerson conducts thorough screenings and ongoing risk assessments of these groups, giving special attention to locations that operate in high-risk countries and industries to ensure anti-corruption.

Areas of Compliance Risk Oversight at Emerson

- Anti-corruption
- Antitrust/competition law
- Trade compliance
- People treatment
- Health and safety
- Product-related
- environmental law
- Data privacy
- Records management
- Environmental
We manage our manufacturing facilities around the world according to a global set of principles that guide our commitment to responsible environmental practices.

Highlights of our principles include:

- **WE COMPLY WITH ALL APPLICABLE LAWS AND REGULATIONS**, but also seek to reduce and, where possible, eliminate hazardous waste through source reduction and recycling.
- **WE CONSERVATION AND EFFICIENCY ACTIVITIES ACROSS OUR OPERATIONS** contributed to a reduction of just over 3.9 million kilowatt hours used.
- **WE CONSERVE ENERGY** and work to improve the efficiency of the products we manufacture and of our own operations.
- **WE CONDUCT REGULAR TRAINING** to ensure knowledge of updated laws and regulations, pollution-prevention and waste-minimization practices, and technological developments.
- **WE PERIODICALLY AUDIT OUR OPERATIONS** to confirm compliance with these principles and local laws.
- Emerson’s business unit presidents implement these principles, with support and guidance from our Corporate Environmental Affairs department. Our general counsel oversees implementation of our environmental principles and periodically reports performance to the Audit Committee of the Board of Directors.

In 2019, we created a dedicated position for a global energy planner whose responsibilities include supervising and helping implement energy reductions for our largest manufacturing sites across the globe. We are also creating an Executive Corporate Sustainability Steering Group, chaired by company leadership to further our environmental sustainability efforts in 2020.

**Enhancing Energy Conservation and Energy Efficiency**

Reducing the impact of our operations is more than a cost-saving measure; we are committed to diligently implementing and enforcing energy efficiency tactics at our facilities. Last year these endeavors contributed to reducing more than 3.9 million kWhs.

- **WE PERIODICALLY AUDIT OUR OPERATIONS** to confirm compliance with these principles and local laws.
These efforts have enabled the below examples:

**Emerson campus in Cluj, Romania**
- **Automated building control systems installed to optimize lighting and HVAC operation**
  - 120,000 kWh annual electricity use reduction

**Fluid & Motion Control facility in Brantford, Canada**
- **Air curtain innovation addition to overhead door system**
  - 16,350 m³ annual natural gas usage reduction

**Appleton Foundry in Milwaukee, Wisconsin**
- **$7.6M+ in upgrades, including new coreless melt furnaces and a new metal delivery system**
  - $1.4M annual energy cost reduction
  - $1.87M annual savings, along with safety and reliability improvements

**Climate Group facility in Suzhou, China**
- **Implementation of heat reclamation on packing line**
  - 115,000 kWh annual energy consumption reduction

**Actuation Technologies plant in Houston, Texas**
- **Retrofitted production floor with LED lighting**
  - 15% annual electricity consumption reduction
  - $45K annual cost savings

Other Emerson locations experiencing energy savings from recent LED lighting retrofits include Chihuahua, Mexico; Novi, Michigan; Chennai, Tamil Nadu, India; Florham Park, New Jersey; and Gronau, Germany.
Reducing Our GHG Emissions

As a manufacturing leader, we recognize we have a role to play in the global effort to manage energy effectively and to reduce our greenhouse gas (GHG) emissions.

Our target was developed after extensive work with a leading environmental management consultancy, reviewing GHG reporting protocols, industry best practices and our current global operations. Due to improvements in the data collection and calculation of our facilities’ GHG footprint, the incorporation of the market-based approach for scope 2 emissions calculations and adjustment to a fiscal year from calendar year, Emerson’s FY2018 baseline market-based GHG emissions intensity metric has been adjusted slightly to 38.8 from the previously reported value of 37.

To help track against our goal, in 2019 we implemented the global use of a cutting-edge environmental, health and safety software system to track, manage and report our GHG emissions, electricity usage, water consumption and other environmental data to provide our facilities with the tools and resources they need to implement new energy-saving measures while continuing ongoing environmental training to equip colleagues with knowledge on best practices for reducing energy waste and conserving energy.

In 2019, we made noticeable progress toward our carbon mitigation target by producing a GHG emissions intensity metric of approximately 36.6, representing a 5.8% decrease from the 2018 baseline value. We achieved this reduction throughout a variety of practices, including providing our facilities with tools and resources to implement new energy-saving measures while continuing ongoing environmental training to equip colleagues with knowledge on best practices for reducing energy waste and conserving energy.

In September 2019, we announced a new GHG reduction target: We committed to reduce our GHG emissions by 20%, normalized to sales, across our global manufacturing and shared service facilities by the year 2028, measured by our baseline year of 2018.

Emissions Intensity

Greenhouse Gas Emissions

- **SCOPE 1**: DIRECT GHG EMISSIONS
  Includes the combustion of fossil fuels (e.g., natural gas, fuel oil, propane, etc.) for comfort heating or other industrial applications at Emerson manufacturing and nonmanufacturing facilities worldwide.

- **SCOPE 2**: INDIRECT GHG EMISSIONS
  Includes the consumption of purchased electricity, steam or other sources of energy (e.g., chilled water) generated upstream from Emerson manufacturing and nonmanufacturing facilities worldwide.

All GHG emissions are measured in metric tons of carbon dioxide equivalent (MT CO₂e).

<table>
<thead>
<tr>
<th>Year</th>
<th>Market-based</th>
<th>SCOPE 1</th>
<th>SCOPE 2</th>
</tr>
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<tbody>
<tr>
<td>'18</td>
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<td>699,423</td>
<td>687,075</td>
</tr>
<tr>
<td>'19</td>
<td>163,945</td>
<td>699,739</td>
<td>687,352</td>
</tr>
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</table>

**DECREASE in GHG emissions intensity in 2019 from the 2018 baseline value**

5.8%
Adam Glassl joined Emerson after completing his undergraduate degree in 2017, and he’s already made a significant impact on our environmental sustainability efforts. In his current role as a Global Energy Planner, Adam is focused on helping Emerson meet its GHG reduction target by identifying and implementing programs to optimize energy use, increase renewable energy adoption and reduce operating costs across Emerson’s facilities worldwide. He enjoys working with Emerson’s manufacturing employees to witness firsthand how improved sustainability and energy management impact not only the company’s day-to-day operations, but also the broader communities, families and stakeholders they serve.
To continue building on our commitment to responsible operations, Emerson tracks our impact on the environment in several ways, including measuring greenhouse gas emissions into the atmosphere, water consumption and energy use associated with our manufacturing operations. Emerson has voluntarily disclosed this data as a participant in the Carbon Disclosure Project (CDP) since 2006.

Our emissions reporting includes all facilities within our operational control, including nonmanufacturing facilities such as warehouses, distribution centers, headquarters buildings, sales offices and engineering centers. Historically, we have reported metrics based on the calendar year. This year, in order to align with our financial reporting and add an intensity-based sales metric, we have improved our data collection and calculation methodologies to report by fiscal year. This year, we have also adjusted our reporting to include market-based scope II calculations in addition to location-based calculations we’ve shared in the past. Moving forward, we will use our fiscal year market-based 2018 calculations as a baseline to be as thorough and transparent as possible.

In 2019, Emerson experienced a 0.2% decrease in CO₂ emissions, a 0.5% decrease in global electricity usage and a 3.6% increase in global water consumption. Though we had progress in some areas in 2019, we understand the vital importance of continuing to reduce our use of energy and natural resources and remain committed to these efforts in the year ahead.
Designing Greener Buildings

Emerson designs and builds many of our new facilities following the U.S. Green Building Council’s Leadership in Energy and Environmental Design, or LEED, certified standards, incorporating sustainable design principles and local standards, technologies and best practices. This approach is just another way we seek to reduce the impact of our operations on environmental resources.

Our fluid control and pneumatics headquarters in Florham Park, New Jersey, was recognized in October 2019 by the New Jersey Department of Environmental Protection (NJDEP) for its leadership in Environmental Stewardship. The LEED® Silver-certified facility was specifically honored for voluntary and proactive measures taken to improve the environment and foster sustainability, including reduction of water and energy use, management of wetlands, diversified arboreal investments and meadows development.

This year, Emerson also joined the Energy Star Buildings & Plants Partnership, an initiative driven by the U.S. Environmental Protection Agency (EPA), aimed at raising awareness of energy management practices. Through this partnership, Emerson will collaborate with the Agency and other companies to continue to share best practices on operational resourcefulness.

The following Emerson facilities were designed to meet LEED® standards for using less water and energy and reducing greenhouse gas emissions:

**LEED CERTIFIED**
- InSinkErator Global Headquarters
  - RACINE, WISCONSIN (USA)
  - 2019
- The Helix Innovation Center
  - DAYTON, OHIO (USA)
  - 2016

**LEED SILVER**
- Emerson Scroll Machining Building
  - AVA, MISSOURI (USA)
  - 2013
- ASCO Fluid Automation Global Headquarters
  - FLORHAM PARK, NEW JERSEY (USA)
  - 2017
- Emerson Campus
  - SOROCABA (BRAZIL)
  - 2013
- Emerson Latin American Headquarters
  - SUNRISE, FLORIDA (USA)
  - 2009

**LEED GOLD**
- Corporate Data Center
  - ST. LOUIS, MISSOURI (USA)
  - 2010
Environmental Training

To help drive continued leadership across the organization, Emerson regularly conducts environmental training programs for our plant environmental managers, business unit environmental coordinators and other key personnel worldwide.

Each session addresses the specific needs of the regions in which our facilities are located. Employees receive online or face-to-face environmental training to both give employees a practical understanding of the environmental laws and regulations as they apply to the company, and share best practices on how to reduce waste, enhance the quality of the environment and conserve energy.

Environmental Compliance

Emerson takes into consideration the environmental aspects of how we design, engineer and manufacture products, ensuring that materials and processes are safe and adhere to industry standards and government regulations, such as WEEE (Waste Electrical and Electronic Equipment) and RoHS (Restriction of Hazardous Substances) directives.

We also annually assess the environmental compliance of Emerson facilities. During this process, we analyze the procedures and performance of our facilities against standards set by local law or Emerson’s standards, whichever is more stringent. In addition, when acquiring companies, we assess their environmental policies and procedures to correct any issues and ensure they meet our standards.

Emerson requires every manufacturing facility to receive a third-party environmental compliance audit every five years, in which auditors conduct detailed inspections of the facility and its environmental records. These audits evaluate environmental regulatory compliance, as well as the overall environmental management practices of each plant. In addition, the audit process also encourages plant environmental managers to look beyond basic compliance to explore ways to implement more innovative and productive environmental management practices.

Before an on-site inspection by an outside environmental consultant, each plant must complete a detailed questionnaire provided by the Corporate Environmental Affairs Department. Once the formal audit is completed and the outside auditor submits a written report, Corporate Environmental Affairs works with the business unit president and facility personnel to ensure all audit findings are addressed.

To ensure consistent vigilance, about 10% of Emerson’s third-party audits are surprise audits, in which facility managers receive, in most cases, only 48 hours’ notice of the audit team’s visit.

During years in which plants do not have a third-party compliance audit, business unit environmental coordinators work with plant management to complete a self-assessment to ensure compliance with environmental regulations. Corporate environmental staff oversee this annual process.

Fines and Sanctions

Emerson facilities are routinely inspected by environmental authorities in the jurisdictions where we operate. It is Emerson’s policy to cooperate fully with environmental authorities. Most inspections by authorities do not result in monetary fines, penalties or citations. When environmental fines or penalties have been imposed, the costs have been minimal in comparison with the size of our operations.

In fiscal year 2019, environmental regulators inspected our facilities or were notified of incidents on 19 different occasions worldwide (compared with 39 occasions in 2018). Of these occasions in 2019, four (compared to two in 2018) resulted in monetary penalties, which totaled $2,246.18. The average annual cost of environmental fines and penalties incurred globally by Emerson from 2014 through 2019 was approximately $8,600.

PERCENTAGE OF EMERSON MANUFACTURING FACILITIES that received environmental compliance reviews in 2019.

82%
Guided by our core values, we’ve made it our top priority to ensure our suppliers uphold the highest standards and principles as we work collaboratively to deliver solutions for our customers.

Emerson expects our suppliers to project our values and principles by acting with integrity and treating people and the environment with respect. We are committed to ensuring our suppliers meet these standards through frequent communication and requirements set forth in bid packages and supply contracts. Emerson is investing in our information technology systems and capabilities to better track compliance and enhance our continuous improvement efforts. By regularly evaluating and updating these standards and looking ahead to future trends, we have been able to build and maintain a global supplier network that is true to Emerson’s core values.

Training Emerson Employees

Managing a global supply chain entails a range of complex issues involving suppliers across diverse geographies, cultures and business practices. Acting responsibly requires knowledge of each subject, an understanding of laws and practices and the ability to recognize potential deviations.

To navigate these complexities, Emerson’s annual ethics training helps managers and employees engage effectively with stakeholders as they communicate Emerson’s priorities and expectations. In 2019, we developed a compliance program working group, comprised of both legal and technical team members, to ensure we are continuously improving on our ability to recognize, report and support remediation of any compliance issues.

Emerson’s direct material spend with suppliers worldwide in fiscal 2019 was $6.5B.

EMERSON SUPPLIERS WORLDWIDE in fiscal 2019.

20,000+
Affirming Supplier Responsibility

Our supplier agreement form contains a “Code of Conduct” clause, which states that we expect suppliers to comply with generally accepted principles regarding ethical behavior, labor practices, human rights and environmental protections, as outlined in the Emerson Supplier Code of Conduct. Currently, about one-third of the company’s supply chain spend is under contracts requiring acknowledgment of this clause, and we plan to build this percentage over time as contracts come up for renewal.

We require all suppliers who want to participate in our e-sourcing initiatives to acknowledge their understanding and compliance with our Supplier Code of Conduct, before they are allowed to bid. We also conduct site visits of select new and developing suppliers as part of our qualification process.

Supplier Engagement

In 2018, we implemented an online contract management platform and evaluated a supplier qualification module, enabling us to continue growing the percentage of spend under contract, which includes acknowledging our Code of Conduct clause. The supplier qualification module also provides a mechanism for open dialogue with our suppliers regarding environmental, social and governance information.

To evaluate our suppliers’ awareness of and compliance with our Supplier Code of Conduct, as well as their own corporate social responsibility policies, we conduct regular surveys of our top 20 suppliers. Since launching our pilot survey in 2018, we have expanded the survey to include suppliers who account for 47% of our annual direct supplier spend.

We expect each supplier to adhere to our Supplier Code of Conduct, which is built on a foundation of:

- **ETHICS**
  - Conflicts of Interest, Anti-Corruption
  - We forbid bribery and unethical gift-giving by our employees and suppliers.
  - We encourage periodic reviews with large or preferred suppliers.
  - We regularly engage with our stakeholders to determine the most effective means of confirming compliance.

- **HUMAN RIGHTS & LABOR**
  - Employment Conditions, Discrimination, Prohibition of Child Labor
  - We fully support global efforts to eradicate human trafficking and unethical labor practices.

- **ENVIRONMENT**
  - Stress Programs That Reduce Energy Consumption and Waste in Facilities
  - We work with suppliers to actively manage and demonstrate compliance with laws and regulations including REACH, RoHS, China Blue Sky and more.
  - We are members of the Responsible Minerals Initiative (RMI), working to ensure responsible mineral sourcing in supply chains.
Regional Sourcing

Since 2000, Emerson has made significant strides toward regionalizing our operations in terms of sourcing of inputs, design, manufacturing, sales and our service footprint. This regionalization strategy facilitates speed, cost savings and cashflow generation, while supporting local customer needs and regulatory mandates in the countries in which we operate. Our strategy provides economic benefits for local suppliers and communities within these world regions, as well as helps reduce transportation costs and emissions.

**Emerson’s Regionalized Supply Chain**

- **Americas**
  - Locally booked and built: 93%
  - Locally sourced: 86%

- **Europe**
  - Locally booked and built: 91%
  - Locally sourced: 79%

- **AMEA**
  - Locally booked and built: 80%
  - Locally sourced: 88%

**Local Suppliers** → **Emerson Factories & Sales** → **Local Customers**

*Locally booked and built refers to the percentage of Emerson orders that are built within a region and fulfilled to customers in that same region. Locally sourced refers to the percentage of Emerson supply chain spend for manufacturing within a region that comes from suppliers within that same region.*

**AMEA** represents Asia, Middle East and Africa.
Sharing a collective passion for innovation and a commitment to excellence, our most valuable asset at Emerson is our people. Our talented teams span the globe, and we support them by fostering a culture in which all individuals are empowered to reach their greatest potential. Through competitive wages and benefits, training and development opportunities, and an inclusive work environment, we aim to create an organization our people are proud to represent.
Since Emerson’s founding 130 years ago, our employees have remained our greatest strength.

We continually seek employee feedback and create forums where employees can share their valuable perspective. We celebrate the ways employee insights help us innovate for our customers and grow as an organization focused on cultivating and attracting the most talented workforce.

To help maintain robust employee engagement, Emerson has conducted employee opinion surveys for more than 60 years. The annual survey highlights employee perspectives in critical areas like commitment to values, safety culture, diversity and inclusion, training and support, job satisfaction, work-life balance and teamwork.

Employee opinion scores showed exceptional growth in these critical areas, and Emerson is focused on continuing to enhance personal growth and development opportunities, team collaboration and building on our culture of inclusion.

Supporting Our People is a foundational value for Emerson, and we are committed to cultivating a positive, inclusive culture where our employees can thrive.

FY 2019 Employee Opinion Survey Highlights

- 18,300+ Employees invited to complete survey
- 89%+ Participation

- Strong understanding of the COMPANY’S VALUES.
- Strong belief that the organization LIVES OUT OUR VALUES.
- Comfort in RAISING SAFETY AND ETHICS CONCERNS.
- Good understanding of Emerson’s ETHICS PROGRAM.
- Satisfaction with TRAINING.
- SUPPORT to do their jobs.
- Clear sense of ACCOMPLISHMENT IN THEIR ROLE.
- Believe management in their location fosters a CULTURE OF DIVERSITY.
Employee connection to our unified values came through loud and clear in the survey. All seven values are considered critical to Emerson’s success, with 86% of employees citing every value as important. Nearly 8 out of 10 employees have a good understanding of how Emerson’s values will help the company be successful. And 76% of employees believe Emerson’s best years are ahead, showing strong optimism toward the company’s future.

At the same time, employees shared a vital connection with their role and the global organization. In the survey, over 8 out of 10 respondents said they believe that their job impacts Emerson’s success and 78% understand how their work aligns with Emerson’s business strategy.
Labor Relations

We respect our employees’ right to freedom of association in choosing labor organizations to represent them. We work collaboratively to keep positive relationships with the unions, works councils and employee associations that represent many of our employees. In the United States, approximately 6% of our workforce was unionized as of 2019. Worldwide, approximately 30% of our employees are represented by an employee representative organization, such as a union, works council or employee association.

Wages and Benefits

At Emerson, we are working to retain and grow our exceptional global workforce. We seek to uphold our value of Supporting Our People by providing competitive wages and benefits in the local markets where we operate around the world. Our compensation practices comply with applicable wage laws and international standards, including those relating to minimum wages, overtime compensation and legally mandated benefits. In compliance with local laws, employees receive compensation for overtime at pay rates higher than regular hourly rates. The basis on which workers are paid is documented in a timely manner via pay stub or similar written communication. In the United States, we offer a standard benefits package for full-time employees that includes health care, life insurance, disability coverage, paid parental leave and access to a retirement savings program. In other countries, our benefits packages for full-time employees vary in accordance with legal mandates, but all do include paid parental leave.

PAID PARENTAL LEAVE

Having a work-life balance and ample family time is critical to our employees’ well-being. Our recent paid leave policy for new parents across our company – implemented globally Jan. 1, 2019 – has been positively received. This new paid parental leave policy outlines time off not by gender roles but by determining the primary caregiver. When welcoming a new child into the home through birth or adoption, Emerson employees who identify as primary caregiver receive 12 consecutive weeks of paid parental leave with 100% pay upon the birth or adoption. Employees who wish to support their spouses or partners during this time as a secondary caregiver can receive two weeks of paid parental leave, which must be taken within six months of the birth or adoption. In countries where the minimum standards of applicable law exceed our new policies, Emerson will always comply with the law.

Equal Employment Opportunity

Emerson is committed to our policy to recruit, hire, train and promote people in all job classifications without regard to sex, race, color, religion, national origin, age, marital status, political affiliation, sexual orientation, gender identity, genetic information, disability or protected veteran status. It is our policy and our practice that this equal employment opportunity be present in all aspects of employment, including but not limited to compensation, benefits, promotions, transfers, layoffs, company-sponsored training, tuition assistance and education.

Emerson recently centralized and expanded our dedicated U.S. employee assistance program, offering benefits that include financial planning and bereavement support.
Discrimination and Harassment

We value the contributions of all employees and do not tolerate any discrimination or harassment. This is reinforced in our annual ethics training that is mandatory for all employees. In addition, we initiated a dedicated effort to conduct training for our people managers on unconscious bias in hiring, promotion and pay decisions in 2017. More than 12,500 of our directors, managers and individual contributors have participated in unconscious bias training over the past three years. We are now rolling this effort out to other levels of the organization.

Transition Assistance

Emerson provides outplacement services for exempt-level U.S. employees in situations where layoffs or reductions in force are required due to business conditions, acquisitions or divestitures. In other countries, Emerson follows legal mandates.

Human Rights

In our business operations around the world, Emerson lives our commitment to respect and promote human rights, which are essential to a healthy and vital commercial environment and to the broader society. This entails specific attention to particularly challenging issues and activities, including:

**COMMITMENT TO HUMANE TREATMENT**

We do not allow or condone any form of harsh or inhumane treatment, including sexual harassment, sexual abuse, corporal punishment, mental or physical coercion or verbal abuse, nor do we allow managers to threaten treatment of this nature.

**PROHIBITION ON FORCED LABOR**

Our policies prohibit, and we do not use any forced, bonded, indentured or other compulsory labor. We also prohibit our suppliers from using any forced, bonded, indentured or other compulsory labor. We support the use of legitimate workplace apprenticeship programs that conform with laws and regulations. We also prohibit our suppliers from employing anyone under the local legal working age.

**Grievance Reporting Mechanisms**

We prioritize providing a safe space where employees feel comfortable sharing concerns, either directly or through anonymous channels. Employee knowledge of where and how to file concerns routinely scores among the highest questions on our employee opinion survey.

In addition to formal and informal complaint or grievance procedures at our business units, employees or other concerned parties have access to Emerson’s global ethics and compliance hotline to report complaints or concerns. Ethics hotline emails or calls are received by an independent company, which reports the information to designated management personnel at Emerson’s corporate headquarters for investigation and response. This outside service does not track visitors to its website, and the person can choose to provide their name or remain anonymous, unless prohibited by country regulations. Further information is available on the Emerson.com Ethics & Compliance page.
Employee development is an integral part of the Emerson culture.

We invest in our people and have created programs to ensure they have the knowledge and training required to succeed in their careers.

Professional Development

As a global business, we know it’s imperative to personalize professional development opportunities to meet the individual needs of our employees. As such, we offer both e-learning and in-person trainings to our global workforce throughout the year.

Thousands of e-learning modules on everything from technical skills to leadership tactics are available for all of our employees through our Global Learning Center platform.

We have dedicated learning and development staff who lead in-person development opportunities, teaching skills that range from professional to leadership skills.

In 2019, Emerson’s Global Learning Center program had 61,494 unique employee sign-ups. Employees logged 165,608 hours of training, spanning the globe:

- **Asia Pacific**: 20,782 employees
- **Europe**: 14,086 employees
- **Latin America**: 4,182 employees
- **Middle East & Africa**: 1,640 employees
- **North America**: 20,804 employees

In 2019, we received the Excellence in Practice Award from the International Association for Talent Development for our training and development programs. This prestigious international acknowledgment was awarded to Emerson for creating industry-leading practices that demonstrate clear and measurable results of achieving organizational goals, meeting a demonstrated need and aligning with other performance improvement initiatives while having long-term sustained results.
Leadership Development Programs

At Emerson, we provide programs that empower our employees to build and strengthen their leadership and professional skills throughout their careers. Through our Charles F. Knight Leadership Center staff, based in St. Louis, Missouri, we develop and implement programming for managers that ties all training and developmental opportunities to business objectives, enabling our employees to immediately put what they have learned to work. Our approach, which effectively differentiates Emerson from our peers and has been praised by experts on leadership development, helps our employees develop in their careers, grow their job satisfaction and, ultimately, positions Emerson as a leader in the marketplace.

FOUNDATIONAL LEADERSHIP DEVELOPMENT

We take pride in investing in the leadership development for our employees at all levels. This year, we unveiled a new program that is available to all our professional employees globally. Performing and Developing at Emerson is a two-day immersion workshop, training employees on performance reviews, setting career goals and outlining expectations of working at Emerson. New managers also attend our three-day Leading at Emerson workshop. This training helps our new managers gain a deeper understanding of our culture, hone their leadership skills and ultimately learn how they can grow with Emerson. Each year, more than 2,000 managers attend this workshop.

ACCELERATED LEADERSHIP DEVELOPMENT

Our supervisors continually look for opportunities to recognize deserving employees, and can nominate high-potential mid-level leaders for multiple professional development opportunities:

Our Rising Leaders Program covers fundamental competencies of being a leader at Emerson. Participants go through two, one-week intensive sessions to acknowledge progress from one session to the next. In 2019, 300 leaders from across the globe participated in and graduated from the program.

Our Executive Leadership Program is a highly selective program for senior leaders throughout Emerson. Throughout 36 months of leadership development curricula, participants develop skills on various topics ranging from emotional intelligence to long-term strategic direction.

Performance Reviews

All of our salaried employees and their supervisors conduct an annual performance review, focusing on performance goals, development goals and competency-based goals. Our hourly workers are also expected to have similar conversations, reviewing their performance as it relates to their job categories. In 2019, we launched our new performance management process, using a global competency framework for employee performance and development planning. Throughout the year of deploying this global performance management system, we also developed a global training and toolkit resource guide to equip managers and employees with the support they need to have effective performance discussions.

Graduates of our Performing and Developing at Emerson shared their personal experiences:

“I liked the training because it was so relevant to my career growth. I am sure the learnings from this training will help me be more credible in my current role and will be a great investment for my future growth in the organization.”

-SAPNA RADHEVSHYAM SINGH, Senior Engineer, Emerson Global Engineering Center

“Having been in my current role for 6 years, I walked away energized and motivated to further develop my skills and abilities in my current role as well as further career opportunities.”

-CHRISTINA KOFFSKY, Human Centered Design Engineer, Human Centered Design Institute

3,000+ of our employees across the globe graduated from our Performing and Developing at Emerson program in its first year.
Safety is critical to everything we do, which is why Safety & Quality are core values for Emerson.

Our safety efforts are guided by our Corporate Safety Council, which is composed of our top human resources, operations and safety leads for our company. The council meets quarterly to track progress on priorities, including safety education, prevention, trends and compliance.

Each of our locations with 50 or more employees has a health and safety committee operating at the local level, which includes representation from members of the workforce, to work proactively in addressing health and safety concerns. We conduct safety training for all operational employees and management.

We annually recognize the achievements of locations in safety performance with Safety Leadership Awards, including our Chief Operating Officer Safety Award given to the location with outstanding safety performance and culture programs.

Our Commercial & Residential Solutions Climate Technologies facility in Kolin, Czech Republic received the 2019 Chief Operating Officer Safety Award for their longstanding commitment to safety, including over nine years without a recordable injury.
We are committed to reducing and further eliminating the risk of serious injuries, because we believe every incident is preventable. This year, we’ve brought that commitment to life through:

- A monthly newsletter series focused on our 12 Lifesaving Behaviors. This companywide initiative across the globe deepens our culture around safety and reinforces safe practices our employees can implement each day.
- Our required I Own Safety training modules. This global, five-module series explores the state of safety at Emerson, and shares tips to identify safe and unsafe practices as well as suggestions to understand and assess risk and take action when needed.
- Our partnership with a third-party software service for incident reporting and tracking. This global program empowers our employees to report safety hazards or incidents. The platform is easily accessible to our employees via their mobile devices and includes features such as adding photos from a mobile phone to document in the report.

LAST YEAR, WE CELEBRATED WORLD SAFETY DAY ACROSS THE GLOBE, bringing light to the importance of workplace safety. Facilities held celebrations with curricula specific to the location, focusing on how to further implement safety practices throughout the working environment.

Belluno, Italy

Cluj, Romania

St. Louis, Missouri

Suzhou, China
To ensure our facilities are up to both Emerson’s and local safety standards, we conduct cross-business and cross-platform safety audits at our facilities on a regular basis. Based on the results of these selective audits, we work with the local facility management to provide step-by-step plans to address any issues that are revealed.

Emerson’s emphasis on safety and health encourages continuous improvement in all our processes for our employees and our customers, including:

- **PROTECTIVE DEVICES**: We continually look for opportunities to engineer and apply protective devices or automation that can mitigate risk from workplace processes and equipment. In 2019, we created our Greenlee Gator Insulated Tools, a first-of-its-kind insulated hydraulic tool line, designed to absorb voltage from the tool before it reaches the person using it.

- **ERGONOMICS**: Where processes involve repetitive tasks, we design work approaches to minimize the impact on our employees. We have a dedicated Ergonomics testing lab through our Greenlee Ergolab, ensuring we are creating the latest innovation and security for our employees and customers.

- **PREVENTIVE MAINTENANCE**: With sound maintenance routines and protocols, Emerson continually reviews equipment to keep it in top operating shape, which reduces the potential for hazards while maximizing productivity.

- **SECURITY**: To ensure a well-protected, safe workplace, we consistently evaluate access into our facilities, following international guidelines and standards.
We measure health and safety performance across all our facilities to ensure continuous improvement and high standards in every facility.

In 2019, our workplace injury rate increased slightly due to an isolated foodborne illness incident at one of our global locations. Excluding that single isolated incident would have resulted in a decreased Total Recordable Rate of Injuries of 0.45.

Driven by improved global data integrity via a centralized safety management system, we have revised our previously reported recordable injuries data to include restricted cases, lost workday cases and fatalities. We are closely reviewing the 2019 data to determine where we need to improve, and we are increasing our safety communication efforts to raise awareness.

Note: Emerson health and safety information shown above excludes discontinued operations as of fiscal 2018.

*Excludes an isolated foodborne illness incident at a single global location.
At Emerson, we are committed to fostering a culture where every employee is valued and respected for their experiences and perspectives.

We believe a diverse and inclusive work environment contributes to the rich exchange of ideas that inspire innovation and bring the best solutions to our customers. This philosophy is fundamental to living our company’s values as we nurture the diverse communities in which we work and live.

Diversity and inclusion are prioritized at the highest levels of our company. Our strategies and policies are guided by Emerson’s chief executive officer and diversity council, made up of 14 senior-level Emerson executives. Our vice president of diversity and inclusion, Akberet Boykin Farr, leads planning and implementation across the global organization. In 2017, Emerson Chairman and CEO David Farr pledged support to CEO Action for Diversity and Inclusion, a collective effort by the business community to advance diversity and inclusion in the workplace.

Emerson’s approach to fostering diversity and inclusion is built around three pillars:

- **PEOPLE** We are committed to increasing diversity in our workforce, and to attracting, hiring, developing and promoting talent in a way that fosters an inclusive work environment and ensures diverse ideas and perspectives are leveraged to create solutions for our customers.
- **CULTURE** We believe a culture where all employees are accepted and respected is a competitive advantage. We are committed to creating a workplace culture where all employees feel empowered to do their best work and can reach their full potential.
- **COMMUNITIES** We are active members of the communities and industries in which Emerson operates, and we seek to support and work with others outside our organization who share our commitment to promoting diversity and inclusiveness in the broader society.
Ensuring Diversity in Our Talent Pipeline

We are urging our managers to widen the funnel of candidates we consider for salaried job openings and promotions to ensure the candidate pools being considered reflect diversity. We have also increased our focus on partnering our ERGs with the Talent Acquisition function to align diverse candidate recruiting strategies, which has led to a new partnership between Blacks Reinforcing Diversity and National Society of Black Engineers (NSBE). In addition, we engage in targeted recruiting in the following areas:

**WOMEN**: Our recruitment of engineering degree graduates and Master of Business Administration degree recipients puts a special focus on reaching women candidates. In addition to our university-focused recruiting, Emerson is a proud supporter of the Society of Women Engineers (SWE), the leading organization dedicated to the advancement of women engineers and we recruit women engineering talent at SWE conferences and job fairs.

**MINORITIES**: Emerson participates in recruiting events at universities and job fairs during professional societies’ annual conferences to identify qualified minority candidates for both entry- and executive-level positions. We are a longtime corporate supporter of the Consortium for Graduate Study in Management, which works with companies seeking to reduce underrepresentation of African Americans, Native Americans and Hispanic Americans in education and business.

**U.S. MILITARY VETERANS**: Emerson and our business units seek to provide post-military job opportunities for U.S. military veterans. In addition, we regularly participate in veterans-focused MBA recruiting events at the University of Michigan, Northwestern University, Harvard University and Washington University in St. Louis.

**Mentorship Mindset**

We believe mentorship can be a multiplier in our work to improve our pipeline of diverse talent. We understand the importance of mentoring and know employees respond positively when an experienced or more senior colleague takes an interest in their professional development and interests. Formal mentoring programs are available in many of our business units around the world, and in 2019, we laid the groundwork to develop an organization-wide mentorship program focused on identifying women and minority employees who are rising leaders and matching them with knowledgeable mentors. This program seeks to expand the leadership competencies of high-potential employees and cultivate inclusion throughout Emerson.
Training & Assessment Opportunities

We know that ongoing diversity training is an important part of driving awareness and changing behavior throughout an organization. We continue to hold diversity training globally to help our people managers and other employees recognize and manage their unconscious biases. We have expanded our diversity training into other areas and continually evaluate other opportunities to provide education and insights to build on our inclusive, positive culture.

In 2019, we also began offering senior leaders the opportunity to use the Intercultural Development Inventory® (IDI), an online assessment tool that helps build cultural understanding, shift perspectives on diversity issues and appropriately adapt behavior to cultural differences and commonalities. After leaders complete the IDI, their responses are analyzed and reports are provided back with guidance on increasing cultural self-understanding to improve their own cross-cultural goals. More than 260 senior leaders completed IDI this year.

Diversity & Inclusion Week in Sweden

In November 2019, Emerson’s Rosemount Tank Radar site in Sweden hosted its first Diversity & Inclusion Week, designed to foster openness, understanding and excitement about the power of each person’s differences to enable the group to thrive. Activities were planned throughout the week to educate, celebrate and inspire, including TED-style talks, global cuisine lunches and a lecture on inclusion by Sofia Appelgren, founder of Mitt Liv (My Life), a company that focuses on enabling an inclusive society and labor market.

WE CURRENTLY HAVE MORE THAN 160 CERTIFIED GLOBAL FACILITATORS for unconscious bias training across the organization.
Employee Resources

Emerson is committed to providing employees around the world with the necessary resources for a welcoming, inclusive workplace. Our employee resource groups (ERGs) are a critical component.

These voluntary, employee-led groups help promote a diverse and inclusive workplace while empowering employees to work toward a common goal. While each ERG is empowered to establish its own unique mission statement, all seek to further the professional development of their members, educate fellow employees and participate in community outreach activities.

Emerson’s four diversity-focused ERGs experienced significant growth and success in 2019:

- **Blacks Reinforcing Diversity**, established in 2018, expanded with additional chapters and interest groups across the country and led initiatives including a mentorship program for members, university recruiting, a partnership with the National Society of Black Engineers and participation in various Black History Month celebrations.

- **Women in STEM**, our first ERG, grew to more than 4,000 members and held more than 700 events across its 85 chapters around the world, including new chapters in Saudi Arabia and Argentina.

- **LGBTQ+ Allies**, established in 2018 based on a growing interest group in Manila, expanded its membership in 2019 to locations around the globe. Emerson’s headquarters in St. Louis sponsored the local pride event, PrideSTL 2019, for the first time.

- The **Veterans ERG**, officially debuted on Veterans Day in 2018, partnered in 2019 with many well-established Veteran organizations, including a mentorship program with American Corporate Partnership, a job training program with Hiring Our Heroes and a consistent volunteer project with the USO.

**Women in STEM Growth**

- Local chapters grew 80% from 47 in 2018 to 85 in 2019.

- Membership increased by 27% from 3,135 in 2018 to 4,000+ members worldwide in 2019.
Known for inspiring others through her strong leadership and deep knowledge as a chemical engineer, Alina Negru – general manager of Emerson’s Cluj campus in Romania – established the first Emerson Women in STEM chapter in Cluj. With Alina at the helm, the chapter has quickly expanded across Europe, swelling to 12 active countries, 1,900+ active participants and more than 200 events organized in just over one year. After finding a shortage of women engineers to serve as role models through her early career, Alina took up the charge to address this challenge and share her motto of “Dare to try and if you fail, try again” with other emerging women leaders.
Celebrating Our Diversity

IN 2019, EMERSON LAUNCHED NEW GLOBAL ADS FOCUSED ON REPRESENTING DIVERSE COMMUNITIES AND THE MORE INCLUSIVE FUTURE WE ARE NURTURING. These latest ads built on Emerson’s “We See” advertising campaign, which showcases breakthrough innovation and positive opportunities for the company to help create a better world.
Recognition

We are proud of the recognition our diversity and inclusion efforts received in 2019, most notably:

- America’s Best Employers for Women
  - FORBES MAGAZINE

- Best Places to Work for LGBTQ Equality and 100% Corporate Equality Index rating
  - HUMAN RIGHTS CAMPAIGN

- Top 50 Employer, Reader’s Choice, Ranked #13
  - WOMAN ENGINEER MAGAZINE

- Top 50 Employer, Reader’s Choice, Ranked #25
  - STEM WORKFORCE DIVERSITY MAGAZINE

- 2019 Corporate Champion
  - WOMEN’S FORUM OF NEW YORK
Emerson’s U.S. Workforce Diversity

U.S. TOTAL WORKFORCE Composition

Men
- '15: 72.2%
- '16: 72.1%
- '17: 69.4%
- '18: 69.5%
- '19: 69%

Women
- '15: 27.8%
- '16: 27.9%
- '17: 30.6%
- '18: 30.5%
- '19: 31%

Minorities*
- '15: 23.3%
- '16: 23.5%
- '17: 27.1%
- '18: 27.4%
- '19: 28.5%

U.S. MANAGEMENT ONLY Composition

Men in Management Positions
- '15: 79.4%
- '16: 78.8%
- '17: 77.5%
- '18: 76.2%
- '19: 76.2%

Women in Management Positions
- '15: 20.7%
- '16: 21.2%
- '17: 22.5%
- '18: 23.9%
- '19: 23.8%

Minorities* in Management Positions
- '15: 13.9%
- '16: 14.5%
- '17: 16.3%
- '18: 17.0%
- '19: 18.3%

U.S. TOTAL WORKFORCE Age Groups

> 50 Years Old
- '15: 43%
- '16: 43%
- '17: 42%
- '18: 43%
- '19: 43%

30-50 Years Old
- '15: 44%
- '16: 43%
- '17: 43%
- '18: 43%
- '19: 43%

< 30 Years Old
- '15: 13%
- '16: 14%
- '17: 15%
- '18: 14%
- '19: 14%

Through these concerted and targeted efforts, we are beginning to show progress.

- The percentage of women in management positions in our U.S. workforce has increased by 15% since 2015.
- The percentage of minorities in U.S. management positions has increased by 32%.

All data is a snapshot of the Emerson business portfolio on the payroll at a specific point during the calendar year. For 2015-16, July 1 was utilized. For 2017-19, December 31 was utilized.

*Minorities include: Asian, American Indian or Alaska Native, Black or African-American, Hispanic, Native Hawaiian or Other Pacific Islander, and two or more races.
At Emerson, we understand that our company has a responsibility to contribute to the betterment of the communities where we operate, and to help address the needs of the broader society and the world we live in. Through our corporate philanthropy and employee volunteerism, our company and our people come together to make a difference in our communities and in the lives of all citizens, with a focus on STEM education and workforce development, especially in underserved communities.
We focus our corporate philanthropy on organizations making an impact and helping those in need in the communities in which we live and work.

Emerson and the Emerson Charitable Trust support organizations across the United States with the goal of enriching human lives, providing services directly to those in need and increasing the impact of contributed funds. Over the years, Emerson has annually allocated about 1% of its consolidated pretax earnings (excluding nonrecurring items) to U.S. charitable contributions. Emerson reviews requests from all qualified organizations, but prioritizes those from nonprofit institutions and groups in the communities where the company has facilities. Through our matching gifts program, the company matches all U.S. employee contributions, up to a combined total of $10,000 annually, for eligible educational institutions, cultural organizations and, as of 2019, youth development organizations.
During 2019, Emerson and the Emerson Charitable Trust made contributions totaling $28.6 million to more than 1,200 charities, nonprofit organizations and educational institutions across the United States, which includes the company’s match of employee contributions. Approximately $25.8 million of the donations were by the Emerson Charitable Trust and $2.8 million by the company. Funding was approved to support a range of requests from educational institutions and nonprofit organizations, including operating costs to establish, improve or sustain core programs, and for capital costs such as building construction, renovations or expansions.

**TOTAL EMERSON AND EMERSON CHARITABLE TRUST CASH CONTRIBUTIONS in Fiscal 2019 to Charities, Nonprofit Organizations and Educational Institutions Across the United States**

- **Health & Human Services**: $10.9M
  - Supporting the health and well-being of residents of all ages.
- **Education**: $8.3M
  - Developing and training future generations through schools, universities and related programs.
- **Culture & Arts**: $5.6M
  - Enhancing the quality of life through support for cultural activities and arts programs.
- **Civic Needs**: $3.8M
  - Promoting prosperity of our cities and regions through infrastructure initiatives and community development.

**EMERSON CONTRIBUTIONS IN THE U.S. (in millions USD)**

*Decline in charitable contribution between 2015 and 2017 is related to Emerson’s portfolio transformation and business unit divestitures.*
STRENGTHENING COMMUNITIES

U.S. Charitable Contributions

Examples of organizations and initiatives in the United States that received Emerson funding in fiscal 2019:

CULTURE & ARTS

Racine County (Racine, WI)
Emerson pledged $100,000 to support the Pritchard Park campaign in Racine, WI, where our InSinkErator business is located. With our support, the park will transform to a modern, outdoor facility for the surrounding youth, families and community to enjoy.

St. Louis Cultural Institutions (St. Louis, MO)
Emerson is a longtime supporter of the leading cultural institutions in its headquarters city. In 2019, Emerson completed its $5 million pledge toward projects for Forest Park Forever. In celebration of this contribution, Forest Park’s renovated Central Fields were rededicated as Emerson Central Fields. Other supported organizations included the Saint Louis Art Museum, Missouri History Museum, Saint Louis Zoo, Saint Louis Science Center, The Muny (Municipal Theatre Association of St. Louis) and the Missouri Botanical Garden. Over the past 10 years, Emerson has invested more than $44 million in these institutions and the revitalization of the Gateway Arch grounds to help make St. Louis a special place to enjoy and experience for residents and visitors alike.

HEALTH & HUMAN SERVICES

Boys & Girls Clubs of Greater St. Louis, Inc. (St. Louis, MO)
Emerson supports the Boys & Girls Clubs of Greater St. Louis as a part of our ongoing efforts to revitalize north St. Louis County. We pledged $1 million toward the construction of the organization’s new teen center in Ferguson, which opened in October 2019. This community center offers a range of services for youth ages 12-18, including a nutrition education center, outdoor garden, gym, lounge and a performing arts studio. The Boys and Girls Clubs also has a trained social worker on-site to counsel teens about stress, trauma and resolving conflict.

Regional YMCA of Western Connecticut, Inc. (Brookfield, CT)
In 2019, we were pleased to complete our pledge to the “Building a Stronger Community” campaign with the YMCA. Emerson has partnered with the local YMCA since the late 1980s to serve the greater Danbury, CT community, and this campaign builds on our longstanding effort to support youth development, healthy living and social responsibility in the area.
EDUCATION
LUME Institute (St. Louis, MO)
Emerson believes strongly in the importance of early childhood education as critical preparation for K-12 education, college and beyond. Over the last several years, we’ve invested $100,000 to support the innovative work of LUME Institute, a nonprofit focused on improving early childhood education in the St. Louis area, by providing free training programs to early childhood educators who typically cannot afford professional development training.

CIVIC NEEDS
Houston Habitat for Humanity Inc. (Houston, TX)
Habitat for Humanity’s goal is to create a world where everyone has a decent place to live. The Houston chapter has served more than 5,000 families through new homeownership and home repair since 1987, and Emerson has been proud to support the effort through monetary donations and employees’ volunteer time.

Beyond Housing Inc. (St. Louis, MO)
Emerson is helping Beyond Housing, one of the St. Louis region’s leading providers of housing and support services for low-income families and homeowners, expand its services in north St. Louis County for families and children including: increasing access to affordable housing and wrap-around services, improving health by promoting wellness and increasing access to care, and linking residents to job training and employment opportunities.
Each year, Emerson and our employees get involved in United Way fundraising and volunteer activities in the U.S. communities where they live and work. In 2019, the Emerson Charitable Trust made contributions totaling $2.2 million to 30 United Way organizations across the country.
SUPPORTING U.S. MILITARY MEMBERS AND VETERANS
Emerson is proud of the U.S. military veterans we employ, and we provide support and volunteers to a number of organizations helping active duty military, veterans and their families, such as:

**USO of Missouri**
Emerson has provided $200,000 to the USO of Missouri over the past four years to support programs and events for active duty members of the U.S. military and their families, including services offered at the USO center at St. Louis Lambert International Airport as well as a mobile unit used at troop deployments and events throughout Missouri and Illinois.

American Corporate Partners
Emerson collaborates with American Corporate Partners, a nonprofit organization dedicated to helping post-9/11 veterans transition from military to civilian life through career counseling and professional networking. In addition to charitable contributions, Emerson employee volunteers participate in the organization’s mentorship program.

REVITALIZING NORTH ST. LOUIS COUNTY
Emerson continues to take a lead role as a corporate supporter of initiatives in the North St. Louis County region where our global headquarters is located. Our contributions support organizations providing social services, education and employment opportunities for economically disadvantaged residents in Ferguson and nearby communities.

**TOTAL EMERSON AND EMERSON CHARITABLE TRUST contributions and pledges since 2014 to residents of North St. Louis County:**

$27M
More than 75 organizations received Emerson contributions in 2019 to help address various needs of North St. Louis County and the city of St. Louis. Examples include:

**Health + Homes STL**
Emerson supports Health + Homes STL, a nonprofit organization created to strengthen the WestFlo District, which will include a health clinic, improved street, sidewalk and lighting infrastructure for accessibility and safety, commercial and retail development, and to encourage new, affordable housing, renovations and home ownership.

**St. Louis Community College**
In August 2019, St. Louis Community College officially opened the Emerson STEM Academy at its Florissant Valley campus. This dedicated space, made possible by Emerson’s $1 million grant over six years, enhances the college’s offerings related to STEM education and includes tutoring services, a computer lab and a place to study. The academy is also open to students from 10 area high schools in North County that are participating in dual credit, dual enrollment or Early College Academy programs for high school students.

**University of Missouri–St. Louis (UMSL)**
Emerson pledged $1.5 million to UMSL in 2014, creating the Emerson Community Scholarship Program to support 60 students annually. Through this commitment, Emerson awards $5,000 annual grants to eligible UMSL students from area high schools in north St. Louis County with preference given to those pursuing degrees in business or STEM fields. In 2019, UMSL was featured as a top 100 university for social mobility in an inaugural ranking by US News and World Report, which showcased colleges that enroll and graduate significant proportions of disadvantaged students.

**EDUCATION SUPPORT FOR EMPLOYEES’ CHILDREN**
**Emerson National Scholarship Program**
Emerson offers a competitively awarded college scholarship program for children of our U.S. employees. Each year, an independent team of educators reviews the applications received and selects 30 new scholars. Each student receives a scholarship for $2,500 per academic year for up to four years. In 2019, we provided 136 students with more than $270,000 in scholarships.

**National Merit Scholarship**
Emerson is also a corporate supporter of the National Merit Scholarship Program and funds 10 new scholarships annually for the children of Emerson employees. Selection is based on academic performance, grade point average and national test scores, as well as participation in school activities and community involvement. Winners receive $2,500 per academic year for four years.
Emerson Philanthropy Around the World

As a global company, Emerson is committed to making a difference in communities where we operate across the globe. Each office supports our collective philanthropy themes, and employees are encouraged to volunteer their time toward meaningful causes. Together, we support a variety of nonprofit and charitable organizations around the world, including:

CHINA CHARITABLE PROGRAM
Emerson’s China Charitable Program, launched in 2009, focuses on infrastructure development education and health and human services to enhance quality of life in Chinese communities.

Infrastructure Development
Emerson is supporting an initiative by the Red Cross Foundation of China to provide schools in underdeveloped areas with campus health sanitation protection systems and provide physical checkups at no cost to students. In 2019, Emerson’s donation went to help build a campus clinic in Sichuan province, for physical checkups for students and training for the campus doctor.

Education
Emerson has supported the New Great Wall Program since 2010, providing scholarships for students from underprivileged families to attend university every year. Emerson was recognized by the China Foundation of Poverty Alleviation for the company’s outstanding contributions in 2018, including the scholarship program and volunteer teaching.

Health & Human Services
For the past eight years, Emerson has been a corporate supporter of the Congenital Heart Disease (CHD) relief program initiated by the Red Cross Society of China. This program helps children with CHD receive medical treatment in western China. Each year Emerson’s support helps about 25 children receive surgical care. Our employees also support the program by providing donations and volunteering to visit hospitals.

Emerson was an early corporate donor to the relief efforts within China to help people impacted by the outbreak of the coronavirus in early 2020.
Aligned with the country’s regulations, Emerson’s CSR programs in India are focused on health, infrastructure development and education for disadvantaged youth.

INDIA CHARITABLE PROGRAM
Our charitable programs in India seek to support initiatives with impactful and enduring results. In 2019, we provided support to the following nongovernment organizations (NGOs) and projects:

STEAM Education
We are actively engaged with Learning Links Foundation (LLF) for uplifting the standard of primary education at government schools in Mohali and around Pune and Chakan, where Emerson operates. The initiative has been implemented in 11 schools across India and is focused on various remedial and educational programs focused on improving language skills and STEAM (Science, Technology, Engineering, the Arts, Mathematics) education.

Workforce Opportunities
Emerson supports adolescent education in partnership with MagicBus and Lend A Hand India (LAHI). Project Manthan, a flagship program by MagicBus, helps 4,500 adolescents across 19 schools complete their secondary education while developing necessary skills to prepare them for a future in the workforce.

Project Swadheen, run by LAHI, integrates vocational education into the curricula for high school students. The program provides students with hands-on experience in key skill areas such as electrical wiring, welding, agriculture, animal husbandry, energy, environment and home and health science.

Skills Development
Emerson has continued its support for skills-building programs offered by Masoom’s night school program in Mumbai. Our funding is providing training for more than 130 students enrolled in either short-term skills-building classes or long-term degree courses. We are also providing scholarships to female students and for engineering students who come from economically challenged backgrounds.

Constructing Educational Spaces
Emerson has continued its support of Purkal Youth Development Society in Dehradun, which provides a nurturing educational environment for underserved students. Services include meals, uniforms, medical facilities and a hostel. Funding has also gone toward training teachers, construction, materials for a STEM lab and sponsorship of two classrooms. Emerson also provided e-learning kits and digitized syllabuses to nine public schools around Chakan and Pune, benefiting more than 800 students.

Access to Safe Drinking Water
Emerson is working with Action for Food Production (AFPRO) to improve access to safe drinking water for four villages and schools near Chakan through construction of a water reservoir. We are also working with the Learning Links Foundation in the same area to enhance the quality of education for students in first through fifth grades at five schools, through teacher training, remedial learning and instilling a values-based culture in the classroom. These projects have created a safe source of drinking water for more than 1,200 students and provided irrigation water for farmland, helping 300 families.

THE PHILIPPINES
In the Philippines, Emerson has supported several research projects, faculty immersion programs and innovation competitions — all aimed at inspiring young students to enter STEM-related fields. Emerson also sponsors a scholarship program that provides training and mentorship to university students; 44 of the scholars have been hired by the company.

Emerson also hosted its second Innovation Challenge competition in the Philippines in 2019 in partnership with several local universities. Under the theme of Digital Transformation, the 2019 competition encouraged university students to create Internet of Things (IoT) applications to creatively address everyday challenges and to inspire their careers in STEM.
Employee Volunteerism and In-Kind Support

Each year, Emerson employees around the world volunteer their time in support of nonprofit and charitable organizations in their local communities. A few examples from the past year:

**Bike for MS (Austin, TX)**
Team Emerson joined nearly 75,000 fellow cyclists to bike over 150 miles to help fund research for a cure for people with MS.

**Habitat for Humanity (Florham Park, NJ)**
Emerson’s Florham Park Women in STEM group worked together on a building project with Morris Habitat for Humanity. Fifteen volunteers assisted in a new construction project that will house 12 families in early 2020.

**Project Bundle-Up (Pittsburgh, PA)**
For 34 years, Project Bundle-Up, in partnership with The Salvation Army, has raised critical funds to provide brand new winter outerwear for more than 285,000 low income children and seniors in Western Pennsylvania. Volunteers from Emerson’s Pittsburgh office helped to bring warmth to 20 underprivileged children in 2019 by helping them pick out warm winter clothing, just in time for the cold weather.

**Costa Rica**
The Emerson office in Costa Rica donated to the National Children’s Museum for the construction of their first STEM-focused exhibit.

**Mexico**
Ongoing efforts in Mexico led to Emerson being recognized by the Mexican Center for Philanthropy and the Alliance for Corporate Social Responsibility with the CSR 2020 Socially Responsible Company Distinction.

**Philippines**
Emerson was co-presenter for AmCham ScholaRun, an annual fundraising activity for the American Chamber Foundation of the Philippines. More than 1,400 Emerson employees participated in the event and, as in previous years, Emerson was awarded with the highest number of delegates.

**Russia**
Charitable giving efforts from the Emerson office in Russia included donations to organizations working to engage children and teenagers without parental support, and used computers and other office and technical equipment to local universities and hospitals.

From giving their time and talents to providing financial assistance, our employees give back to their communities in a variety of ways, including service on governing boards, fundraising and sharing their expertise and volunteering in other capacities.
Emerson is playing a lead role in our local communities and globally to help prepare our future leaders with STEM-related education and raise awareness of career opportunities in these fields.

For five years, we have conducted a STEM survey to understand more about perceptions and beliefs about STEM education and careers. Our 2019 survey – our first global survey – found that 60% of Americans are interested in pursuing STEM careers, but only 39% feel encouraged to do so.

As the global manufacturing industry relies more and more on STEM skills – and its workforce is required to have more technical knowledge than ever before – Emerson is committed to bridging this gap. To prepare the next generation for these careers, Emerson has an enhanced emphasis on promoting STEM, especially among women and minorities who are traditionally underrepresented in the engineering and manufacturing industries.

2019 STEM Survey

- **25%**
  - From Gen X to Gen Z, ENCOURAGEMENT TO PURSUE STEM CAREERS jumped almost 25% – and younger generations are three times more likely to be encouraged to pursue STEM careers.

- **94%**
  - 94% think STEM EDUCATION IS IMPORTANT to their country’s future.

- **50%**
  - 50% say THERE IS A GENDER GAP IN STEM CAREERS – 40% agree this is from a lack of female role models in the industry.

- **79%**
  - 79% of people believe COMPANIES SHOULD DO MORE to train and prep their STEM workforce.
Among the initiatives that received Emerson corporate or business unit funding in 2019 were:

**Ranken Technical College**
Emerson has pledged $1.8 million over three years (2019-2021) to Ranken Technical College in St. Louis, Missouri, for its pre-apprentice training program and to fund scholarships for students from North St. Louis County who demonstrate financial need. In 2019, we introduced the Performance Learning Platform created for Ranken students. This portable and compact automation technology training solution enables hands-on training to prepare students to maintain manufacturing facilities safely and efficiently. This contribution is part of Emerson’s longtime support of Ranken’s programs, and follows a partnership with the institution to develop its Manufacturing Incubator facility, opened in 2018 and designed to enable Ranken students to split their time between classroom study and real-world learning, all while earning a salary that can help them support themselves as they complete their studies.

**San Jacinto College**
Emerson partnered with San Jacinto College, located in Pasadena, Texas to support its new Center for Petrochemical, Energy, and Technology (CPET), which will empower students of all levels with the skills and training needed to support careers in the evolving petrochemical and refining industries. The new, 151,000-square-foot complex is the largest petrochemical training facility along the Texas Gulf Coast.

**Marian Middle School**
Emerson is supporting STEM-related education programming at Marian Middle School, an all-girls school in St. Louis, Missouri that provides more than 70 students from low-income backgrounds with quality education, enrichment programs and graduate support. Emerson’s funding is helping support a robotics competition team, partnerships with medical education institutions, and computer science and coding courses.

**SCHOLARSHIPS OFFERED BY EMERSON BUSINESSES**
Many of our business units offer STEM-related scholarships in the communities where they operate or nationally. For example, Emerson’s ASCO Engineering Scholarships are awarded each year to two students who demonstrate outstanding potential for leadership and contributions to the engineering profession. The scholarships, totaling $10,000, are given to bolster the students’ success and future contributions as engineering professionals.

Over the past 12 years, $120,000 in scholarships has been awarded to 24 U.S. students through the ASCO Engineering Scholarship program.

Other organizations and institutions with STEM-related initiatives funded by the Emerson Charitable Trust in 2019 include (partial list): Challenger Learning Center, St. Louis, Missouri; City Academy, St. Louis, Missouri; City Academy, St. Louis, Missouri; City Academy, St. Louis, Missouri; City Academy, St. Louis, Missouri; Fontbonne University, St. Louis, Missouri; Girl Scouts of Greater St. Louis; Girls Incorporated of St. Louis; Hawthorn Leadership School for Girls, St. Louis, Missouri; Junior Achievement USA; The Magic House, St. Louis, Missouri; National Science Teachers Association; St. Louis Community College Foundation; St. Louis Science Center Foundation; Texas A&M University; University of Missouri-St. Louis; Washington University STEM Teacher Quality Program, St. Louis, Missouri.
**We Love STEM**

Launched in 2015, our “We Love STEM” campaign continued in 2019 to increase support for STEM education and awareness of career opportunities. Our ongoing partnership with YouTube star and STEM advocate Hank Green in 2019 led to a series of videos on STEM topics from the importance of female role models in STEM to the ways engineers move medicine around the world. Emerson shares these videos on our social media channels and dedicated “We Love STEM” hub to extend our reach, awareness efforts and support for STEM education.

Emerson facilities host “We Love STEM Days” for employees’ children and youth outreach in the communities where we operate. The goal of this initiative is to help young people discover STEM fields – and some students even leave our events inspired to pursue careers in STEM. The events are organized with volunteer support from our employees, most especially our local Women in STEM members. Emerson has hosted 23 “We Love STEM” events in 12 countries, with more than 1,800 volunteers reaching over 1,700 students.

The goal of the “We Love STEM” initiative is to help young people discover STEM fields – and some students even leave our events inspired to pursue careers in STEM.
Established by the United Nations and adopted by member states in 2015, the Sustainable Development Goals (SDGs) identify the ways in which organizations and individuals can make our world more sustainable, prosperous and resilient for the future.

In 2019, we began to assess how we can best contribute to the SDGs. Through a preliminary approach, we have identified several initiatives and practices in place that aim to address the various environmental, social and economic challenges facing our planet today.

Examples of these actions and programs as they relate to the SDGs are illustrated here and throughout this report.

GOAL 4 – QUALITY EDUCATION

As the global manufacturing industry relies on STEM skills, Emerson is uncompromising in our efforts to bridge the current skills-gap. We are working to ensure equitable access to all levels of education, especially for those who are traditionally underrepresented in the engineering and manufacturing industries. In fiscal 2019, Emerson pledged $3 million in funding commitments in support of STEM education, programs and activities.

GOAL 6 – CLEAN WATER AND SANITATION

Through our fluid control products and solutions, Emerson is working to improve water quality and increase access to safe drinking water by helping our customers ensure their water applications are clean, safe and compliant with regulations. In 2019, Emerson expanded our lead-free ASCO™ valve offerings to enable original equipment manufacturers and contractors to comply with U.S. Safe Drinking Water Act (SDWA) Section 1417. The expansion eliminates the necessity for complex lead content calculations and simplifies the replacement of existing valves with lead-free brass models.

GOAL 5 – GENDER EQUALITY

Emerson is making a concerted effort across the company and around the world to advance women’s participation in the workforce and end gender-based discrimination. In 2019, we laid the foundation for an organization-wide mentorship program focused on identifying women and minority employees who are rising leaders and matching them with knowledgeable mentors. The program seeks to expand the leadership competencies of high-potential employees and cultivate inclusion throughout Emerson and beyond.
GOAL 7 – AFFORDABLE AND CLEAN ENERGY

From smart sensors in industrial facilities to cloud applications and connected services, Emerson is helping customers across industries introduce technologies to improve energy efficiency. In advance of evolving regulations facing customers in the commercial air conditioning industry, Emerson recently introduced a new line of Copeland™ scroll compressors specifically designed for lower-GWP refrigerants and increased energy efficiencies. The flexible product platform also provides infrastructure upon which future sustainability improvements can be expanded – all without compromising ease of system installation or servicing.

GOAL 8 – DECENT WORK AND ECONOMIC GROWTH

Through our regionalized sourcing strategy, Emerson has made significant long-term investments to serve local market suppliers and support sustainable economic development in the areas where we operate. With this in-region, for-region focus, we have helped to advance critical infrastructure around the world and provide enhanced training, research and upskilling opportunities for local talent.

GOAL 9 – INDUSTRY, INNOVATION AND INFRASTRUCTURE

Emerson’s Plantweb and Ovation systems allow industrial customers in countries such as China, India, Poland and others to modernize their power plants to operate more efficiently and enable other power generators to adapt to cleaner energy sources. In 2019, Emerson began work with TransAlta Corporation to help convert coal-fired plants to natural gas for delivery of clean, sustainable and affordable electricity. The conversions will substantially reduce emissions while providing clean, reliable electricity to the city of Alberta.

GOAL 12 – RESPONSIBLE CONSUMPTION AND PRODUCTION

Around the globe, Emerson is working to ensure we and our customers responsibly make the most of the planet’s resources. Through our garbage disposal technologies, we’ve helped divert 80 million tons of food waste from landfills. We’re building on this technology with our Grind2Energy solution, which uses industrial InSinkErator disposers to enable businesses to turn food scraps into renewable energy. In 2019, Emerson installed the innovative solution into McCormick Place – North America’s largest convention center. With the installation of Grind2Energy, McCormick Place can now divert virtually 100% of pre-consumer organic waste from their facility. This focus extends to many resources. Emerson’s flow measurements solutions are essential to improving resource efficiency for industrial customers, providing real-time measurements to allow them to manage and optimize materials use, energy efficiency and emissions performance.

GOAL 17 – PARTNERSHIPS FOR THE GOALS

No one organization or policy solution on its own can address the challenges we face in advancing these ambitious goals. Emerson actively participates in a number of strategic public-private partnerships and coalitions dedicated to promoting the development and dissemination of technology solutions for all, including the CEO Action for Diversity & Inclusion, the European Partnership for Energy and the Environment, the Global Food Cold Chain Council, the Responsible Minerals Initiative, and the ENERGY STAR® buildings and Plants Partnership, to name a few.
The following information references selected Global Reporting Initiatives (GRI) Standards, a widely used reporting framework on a variety of important topics. The GRI framework provides a structure for organizations like Emerson to communicate the environmental, social and economic impacts of our business operations. For more information about GRI, please visit GlobalReporting.org.

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<td>Emerson Electric Co. 8000 West Florissant Avenue, P.O. Box 4100 St. Louis, MO 63136 USA</td>
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<td>GRI 102-4</td>
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<td>Member of: Alliance for Responsible Atmospheric Policy CEO Action for Diversity &amp; Inclusion European Partnership for Energy &amp; the Environment Responsible Minerals Initiative United States Green Building Council (USGBC) Water Research Foundation</td>
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<td>Emerson’s Senior Vice President, General Counsel and Secretary briefs the Corporate Governance and Nominating Committee on corporate responsibility topics on at least an annual basis.</td>
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<td>Emerson solicits and uses feedback from employees, customers, investors and analysts, community leaders, suppliers, regulator and NGOs to understand concerns and impacts of our operations on the environment, the economy and local communities.</td>
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<td>This material references GRI Standards 2018 as is detailed in this index. Emerson 2019 CSR Report: About This Report, p. 85</td>
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<td>Partially reported: Emerson 2019 CSR Report: Operating Responsibly (Greenhouse Gas Emissions), p. 32</td>
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<td>GRI 305-2</td>
<td>Emissions</td>
<td>Energy indirect (Scope 2) GHG emissions</td>
<td>Emerson uses an in-house methodology for collecting activity data. Each year, a form is sent out to environmental staff at each manufacturing facility requesting the following information: stationary combustion use, fuel types, amount of fuel purchased/used, mobile combustion fuel type and amount purchased/used, vehicle types and amount of fuel used for each, amount of electricity used, cost of electricity used, how electricity is generated, and any reason for change in emissions from the previous year. These data are compiled and used to calculate Scope 1 and 2 emissions. Scope 1 emissions are calculated using the EPA Center for Corporate Climate Leadership Greenhouse Gas Inventory Guidance – direct emission from mobile and stationary sources, January 2016, tables A-1, A-2, B-1, B-7 and B-8. For CY2018 Emerson included nonmanufacturing sites in the Scope 1 and 2 emissions. While estimations and assumptions were used to gather the data for nonmanufacturing sites, emission calculations are done the same as the manufacturing sites. Emerson 2019 CSR Report: Operating Responsibly (Greenhouse Gas Emissions), p. 32. See 305-1 for energy data methodology. Scope 2 emissions are calculated using IEA (2015). CO₂ Emissions from Fuel Combustion, 2015 Edition. International Energy Agency. 2.10.2 Direct Global Warming Potentials. IPCC - Intergovernmental Panel on Climate Change. IPCC “Calculating Greenhouse Gases.” Calculating Greenhouse Gases. International Carbon Bank Exchange.</td>
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<td>Emerson.com: Careers (Learning &amp; Development) Emerson 2019 CSR Report: Investing in People (Training and Development), p. 45-46; (Transition Assistance), p. 44</td>
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<td>Human Rights Assessment</td>
<td>Operations that have been subject to human rights reviews or impact assessments</td>
<td>Emerson 2019 CSR Report: Supply Chain (Supplier Engagement), p. 38</td>
</tr>
<tr>
<td>GRI 412-3</td>
<td>Human Rights Assessment</td>
<td>Significant investment agreements and contracts that include human rights clauses or that underwent human rights screening</td>
<td>Partially reported Emerson 2019 CSR Report: Operating Responsibly (Affirming Supplier Responsibility), p. 38</td>
</tr>
<tr>
<td>GRI 414-1</td>
<td>Supplier Social Assessment</td>
<td>New suppliers that were screened using social criteria</td>
<td>Partially reported: Emerson 2019 CSR Report: Operating Responsibly (Affirming Supplier Responsibility), p. 38</td>
</tr>
<tr>
<td>GRI 415-1</td>
<td>Public Policy</td>
<td>Political contributions</td>
<td>Emerson 2019 CSR Report: Operating Responsibly (Political Participation), p. 25; Emerson.com: Corporate Governance (Political Contributions)</td>
</tr>
</tbody>
</table>
ABOUT

This Report
The Emerson 2019 Corporate Social Responsibility Report presents information focused primarily on data collected and activities that occurred during calendar 2019 or Emerson’s fiscal 2019 (October 1, 2018 – September 30, 2019), except where indicated otherwise.

In fiscal 2019, the company funded $469 million in acquisitions, all in the Automation Solutions segment, which added 5% to our sales. Where appropriate, we have indicated whether the data we present here includes discontinued businesses.

This report presents information that references selected Global Reporting Initiative (GRI) Standards 2018 and United Nations Sustainability Goals (SDGs), unless noted otherwise. Please see the Referenced GRI Index beginning on Page 76 for details.

We expect to update this report annually. However, we undertake no obligation to update any statements herein to reflect later developments. This report may contain forward-looking statements that are not strictly historical and may involve risks and uncertainties. These risks and uncertainties include economic and currency conditions, market demand, pricing, protection of intellectual property, and competitive and technological factors, among others, as set forth in Emerson’s most recent Annual Report on Form 10-K and subsequent reports filed with the U.S. Securities & Exchange Commission.

Any questions or comments regarding this report can be directed to our Vice President, Corporate Social Responsibility, 8000 West Florissant Avenue, St. Louis, MO 63136, +1-314-553-2000.
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